

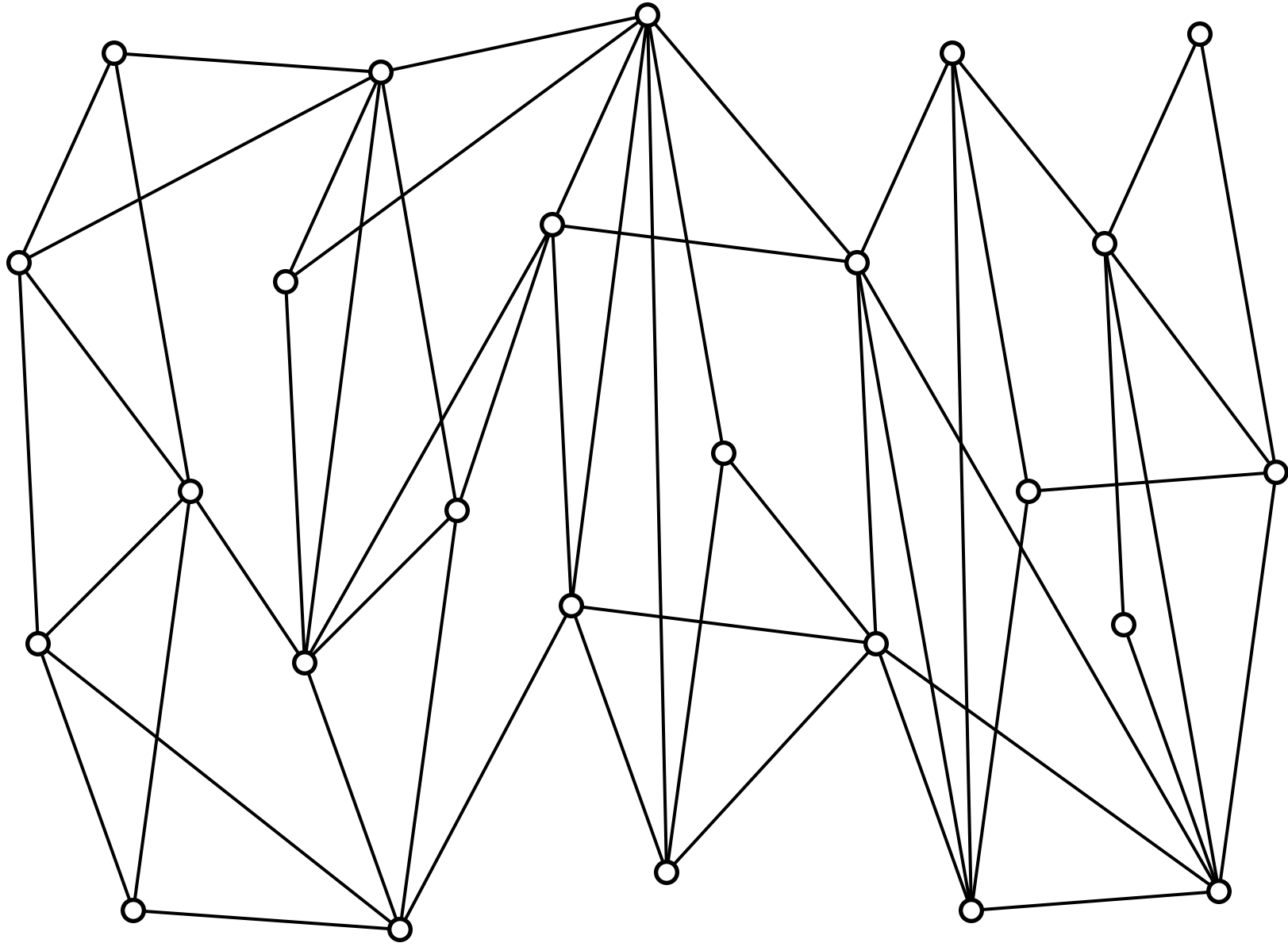
# **Separating Thickness from Geometric Thickness**

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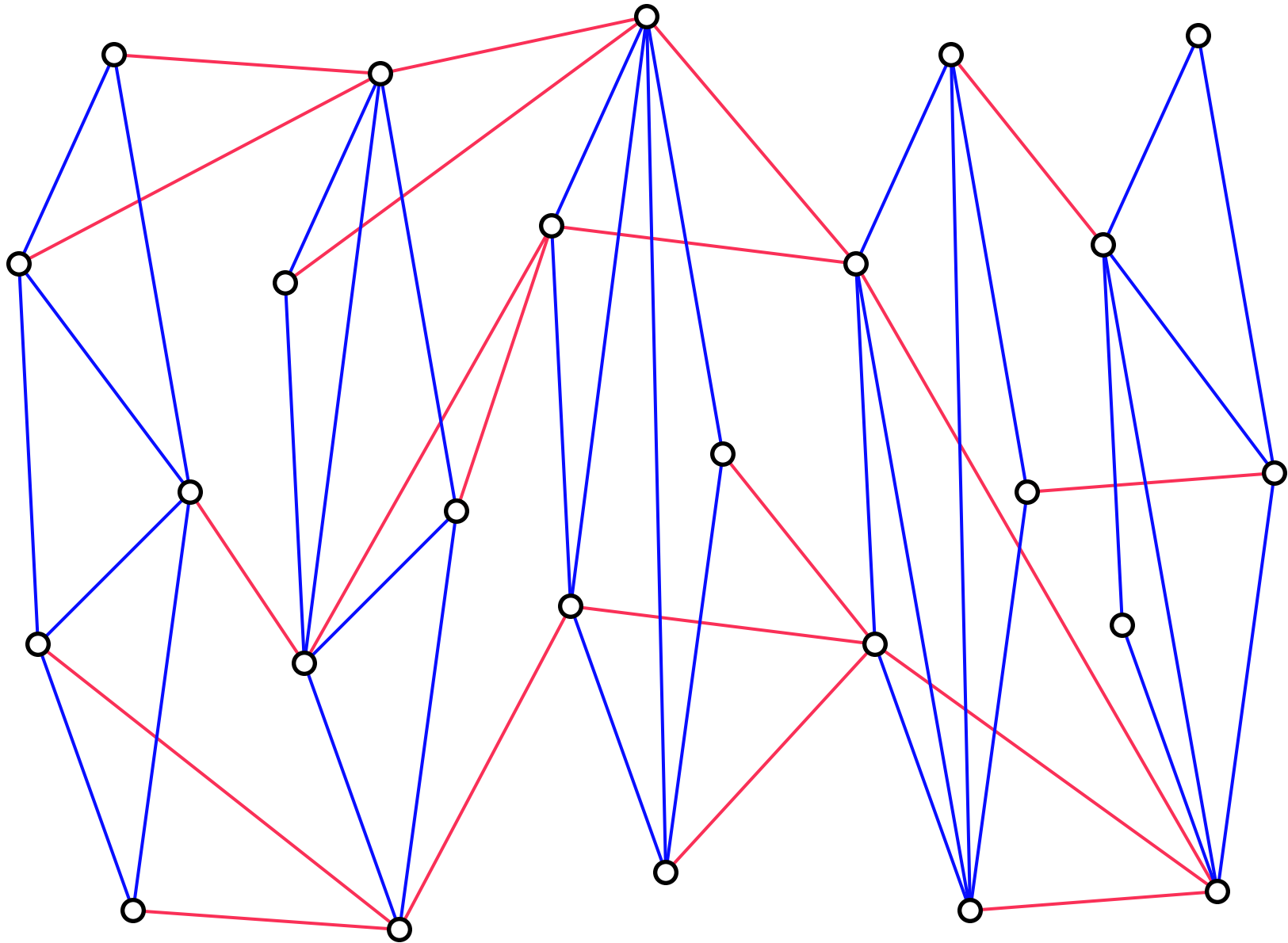
# Why Thickness?

Often, graphs are nonplanar, and crossings can make edges hard to follow

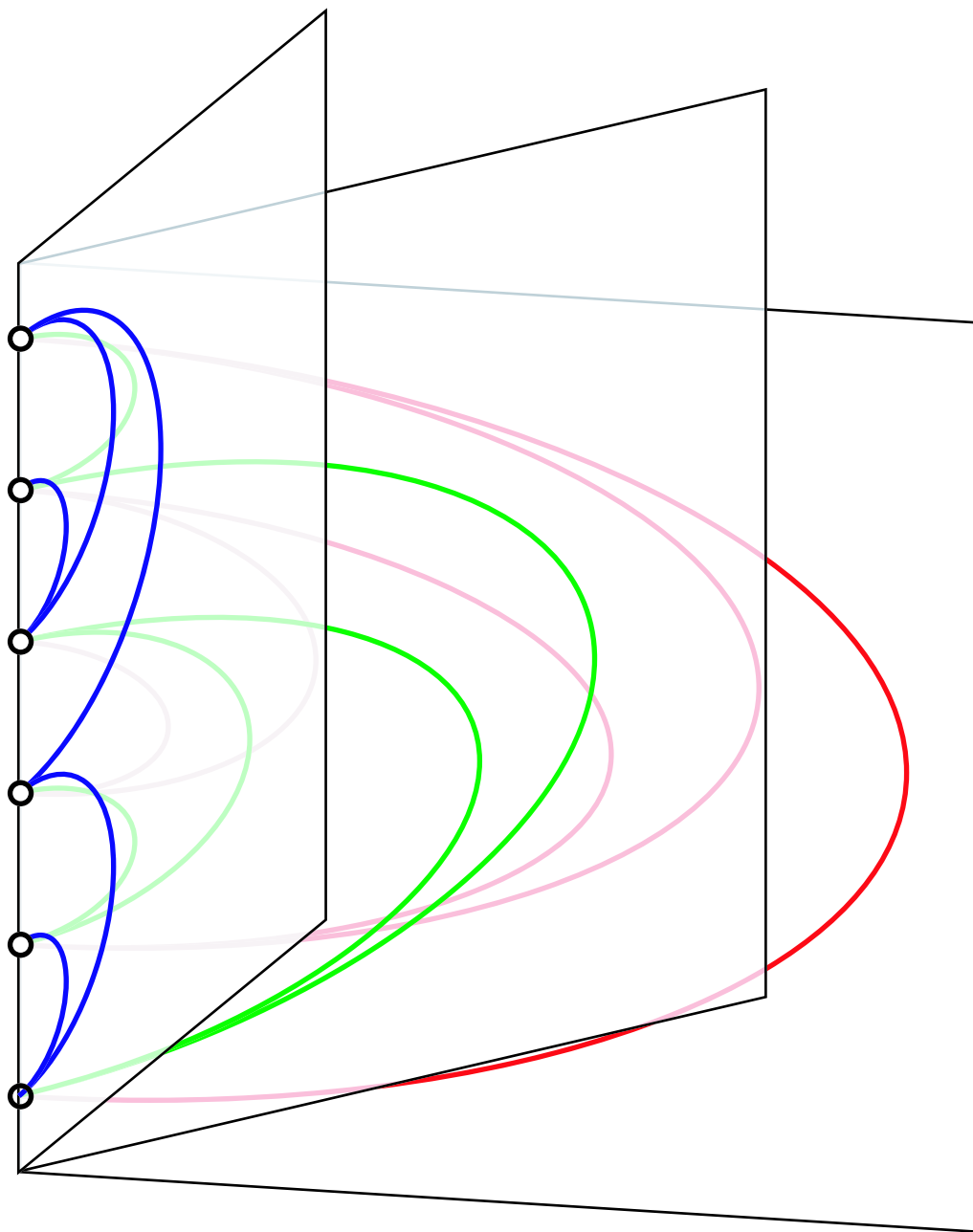


# Why Thickness?

If crossing edges are given different colors, they can be easier to follow



## Thickness definitions: **book thickness**



Place vertices vertically along the spine of a book (vertical line in 3d)

Place each edge into one of the pages of the book (open halfplane bounded by line)

Within each page, edges must not cross each other

Can fold pages flat to get 2d graph drawing

Edges must have bends

Thickness = min # pages needed

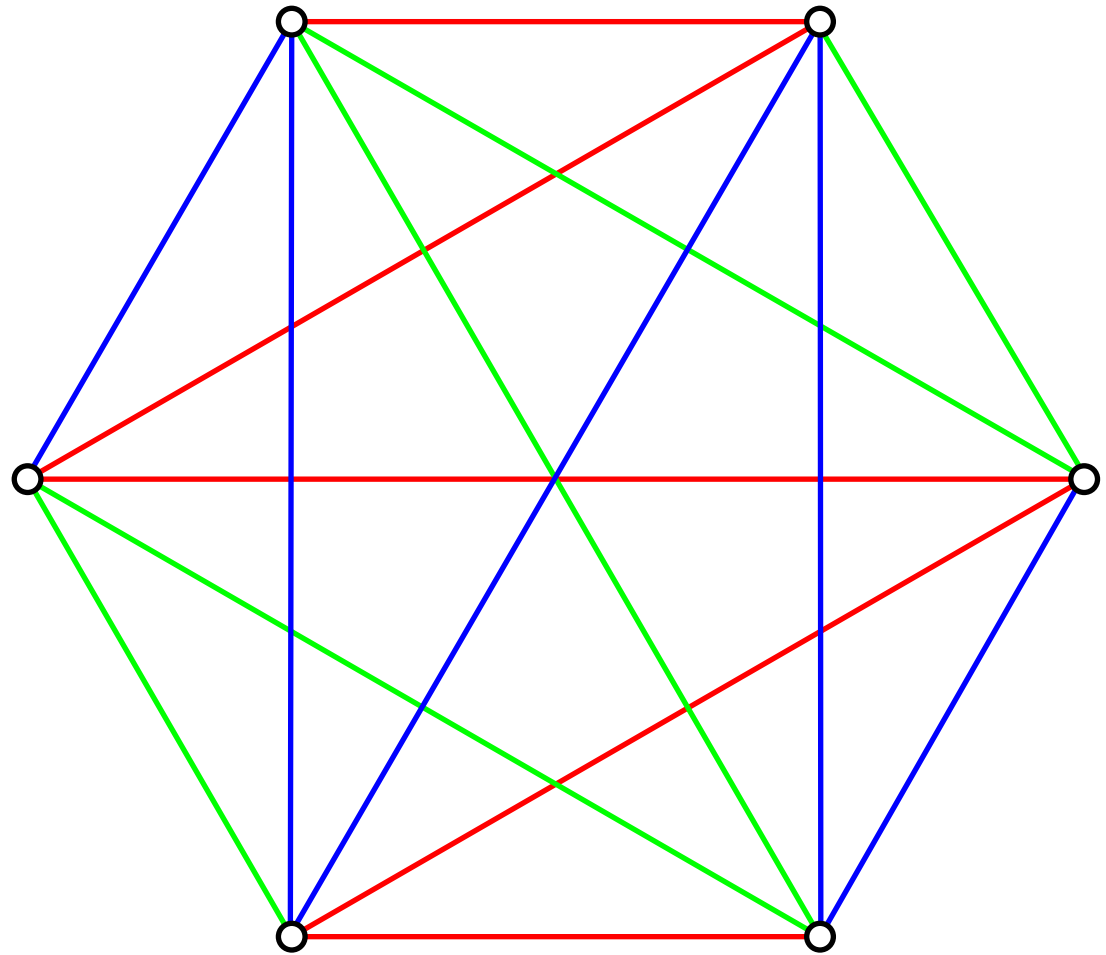
# Thickness definitions: **book thickness** (alternative def)

Bend spine into a convex curve

Draw edges as straight line segments

Use one color per page

Result: drawing with **vertices in convex position** (e.g. regular polygon), **straight edges**, edges can cross only if they have **different colors**

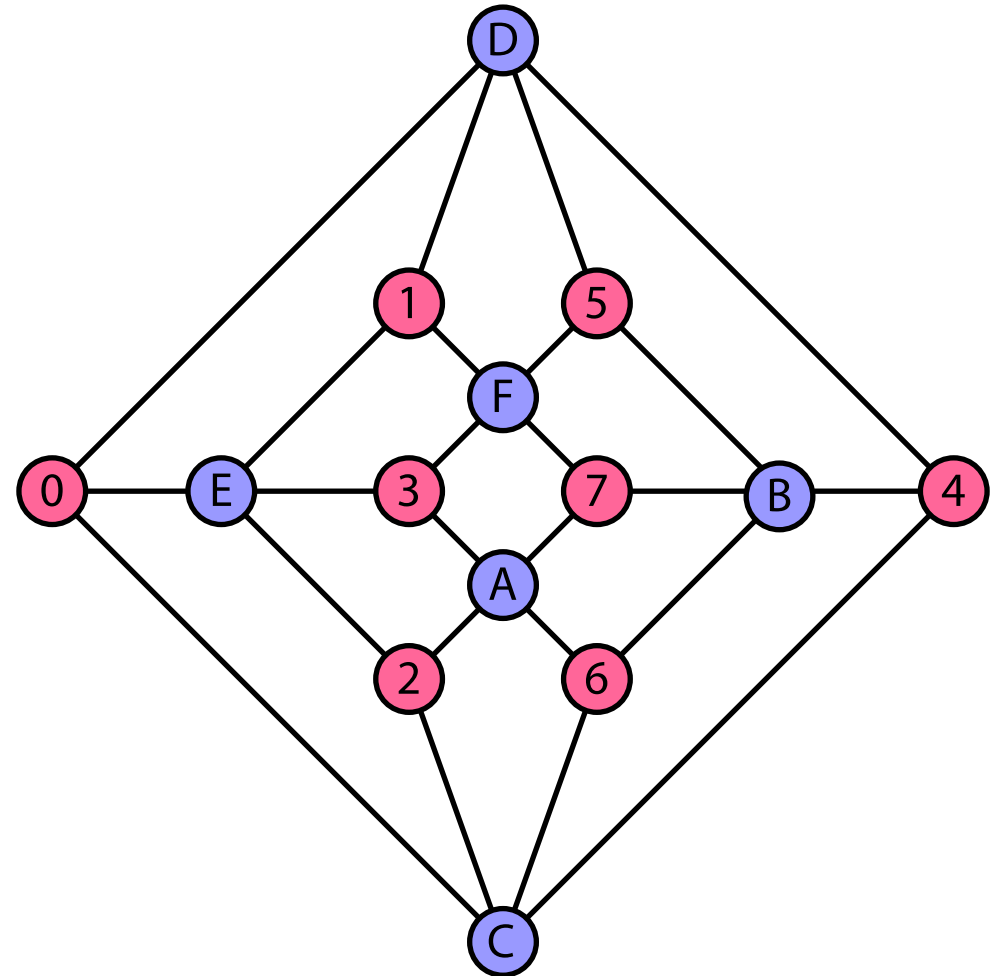
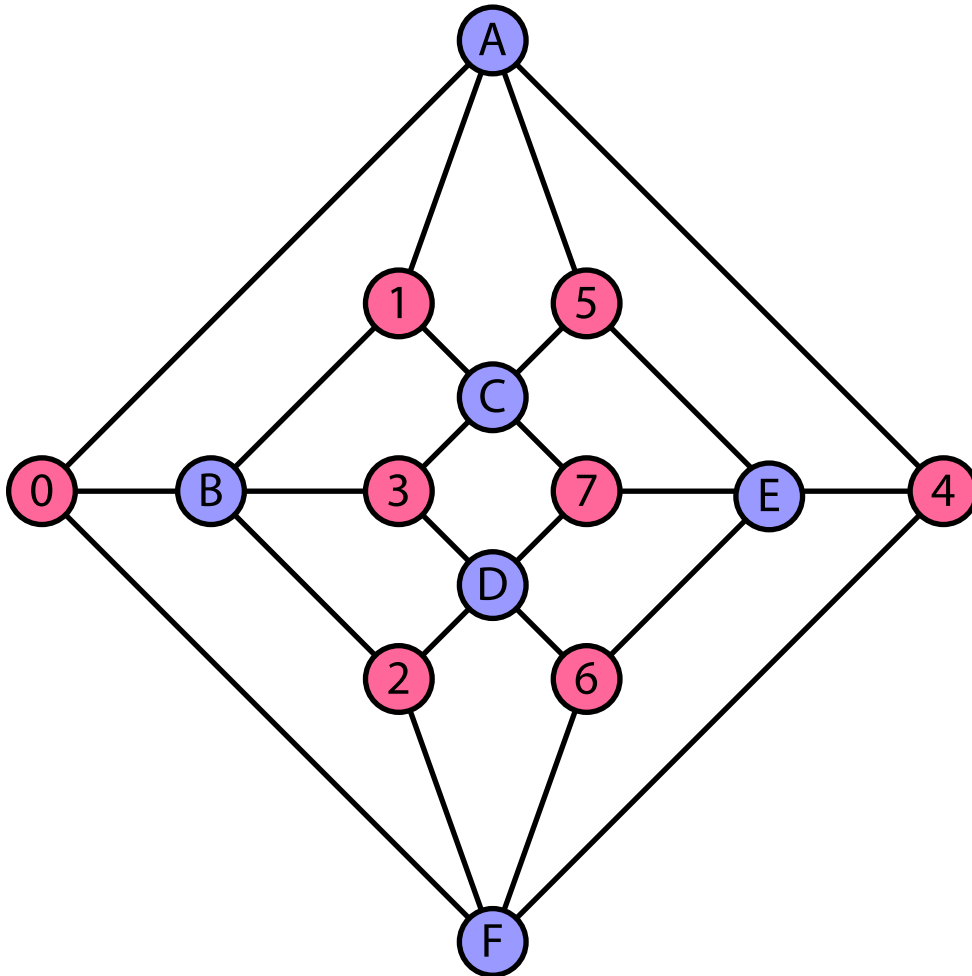


Example:  $K_6$

# Thickness definitions: **graph thickness**

Partition graph edges into **minimum # of planar subgraphs**

Each subgraph can be drawn independently with straight line segment edges  
Vertices **need not have consistent positions** in all drawings



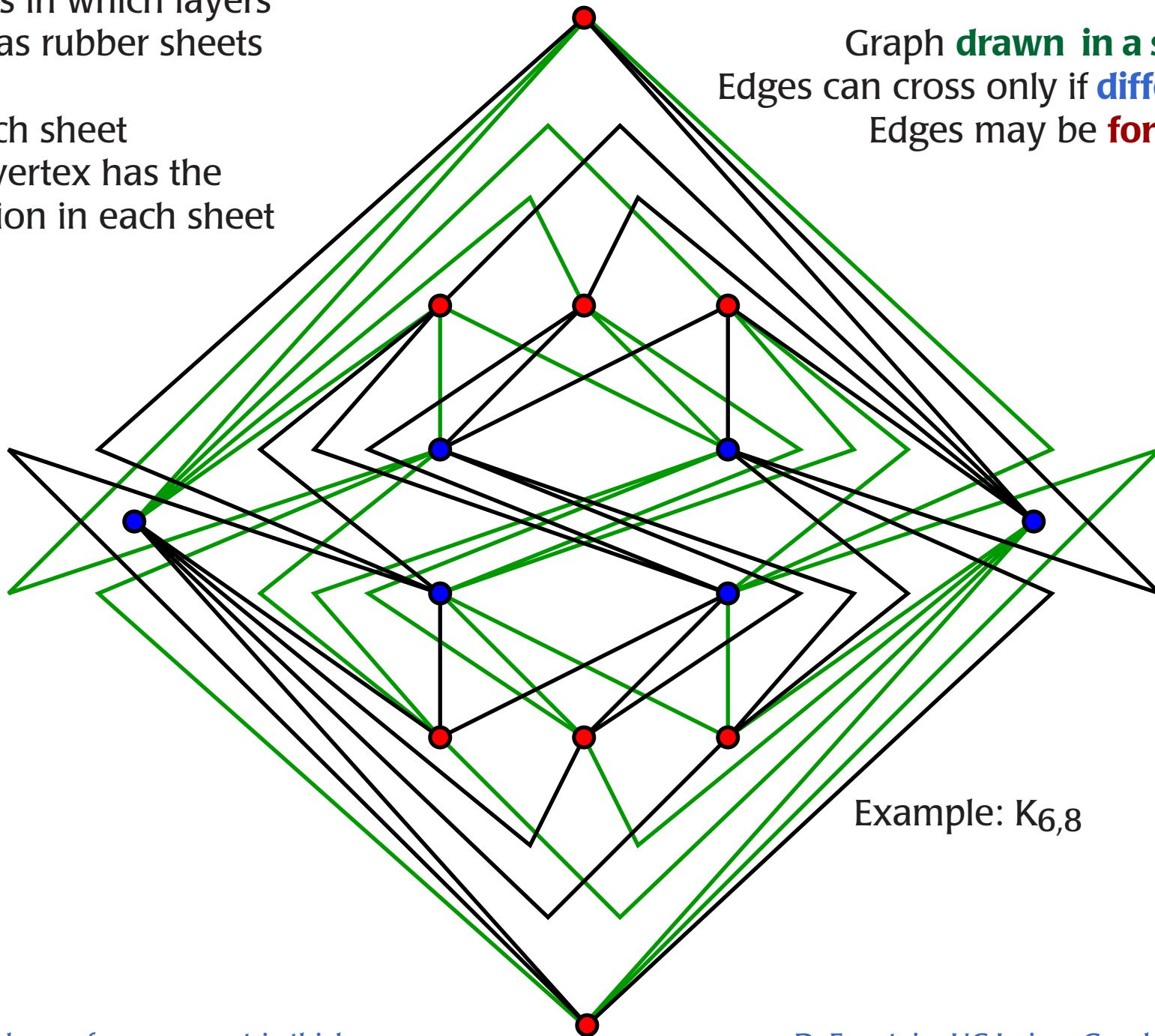
Example:  $K_{6,8}$

# Thickness definitions: **graph thickness** (alternative def)

View planes in which layers are drawn as rubber sheets

Deform each sheet until each vertex has the same location in each sheet

Result:  
Graph **drawn in a single plane**  
Edges can cross only if **different colors**  
Edges may be **forced to bend**



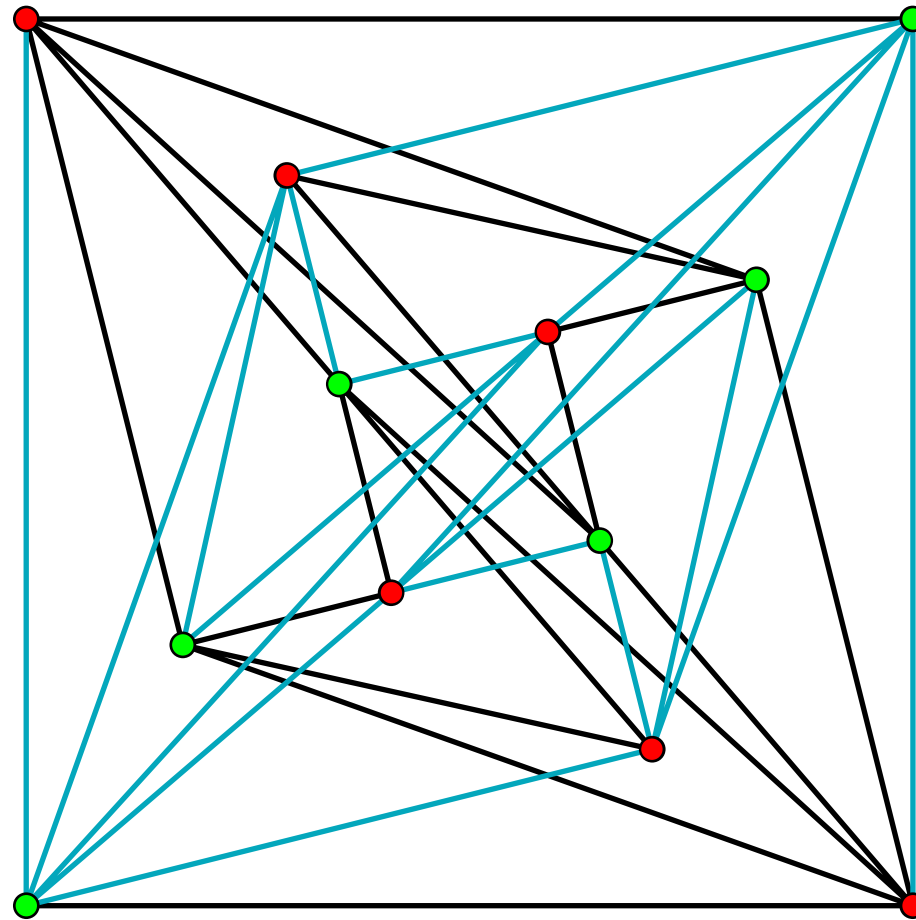
# Thickness definitions: **geometric thickness**

Draw graph in the plane

each vertex as a **single point** (not restricted to convex position)

each edge as a **straight** line segment (not allowed to bend)

edges allowed to cross only when they have **different colors**



Example:  $K_{6,6}$



## Some complexity theory:

Book thickness: **NP-complete**  
*(Hamiltonian triangulation  
of planar graphs)*

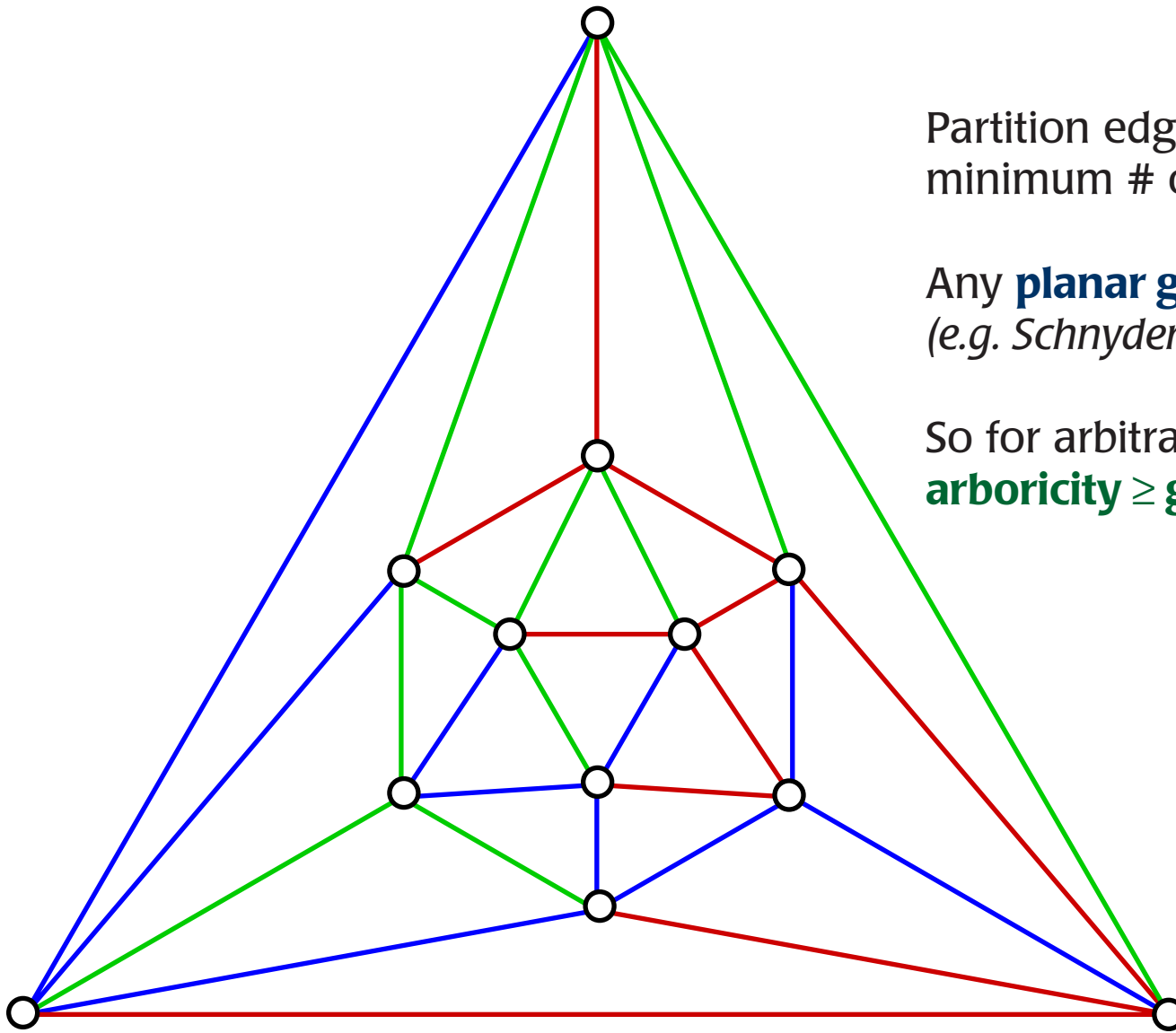
Geometric thickness: **Unknown complexity**  
*(likely to be NP-complete)*

Graph thickness: **NP-complete**  
*(using rigidity of  $K_{6,8}$  drawing)*



So, if we can't quickly find optimal drawings of these types,  
**How well can we approximate the optimal number of colors?**

## Constant factor approximation to graph thickness:



Partition edges of graph into minimum # of forests (**arboricity**)

Any **planar graph** has arboricity  $\leq 3$   
(e.g. Schnyder's grid drawing algorithm)

So for arbitrary graphs,  
**arboricity**  $\geq$  **graph thickness**  $\geq$  **arboricity/3**

# How similar are book thickness, geometric thickness, and graph thickness?

Equivalently, does arboricity approximate other kinds of thickness?

**Known: book thickness  $\geq$  geometric thickness  $\geq$  graph thickness**

**Known: geometric thickness  $\neq$  graph thickness**

$K_{6,8}$  has graph thickness two, geometric thickness three

More generally, ratio  $\geq 1.0627$  for complete graphs  
[Dillencourt, Eppstein, Hirschberg, Graph Drawing '98]

**Known: book thickness  $\neq$  geometric thickness**

Maximal planar graphs have geometric thickness one, book thickness two  
More generally, ratio  $\geq 2$  for complete graphs

**Unknown: how big can we make these ratios?**

## New results:

**Ratio between book thickness and geometric thickness  
is **not bounded by any constant factor****

We describe a family of graphs  $G_2(k)$   
geometric thickness of  $G_2(k) = 2$   
book thickness of  $G_2(k)$  is unbounded

**Ratio between geometric thickness and graph thickness  
is **not bounded by any constant factor****

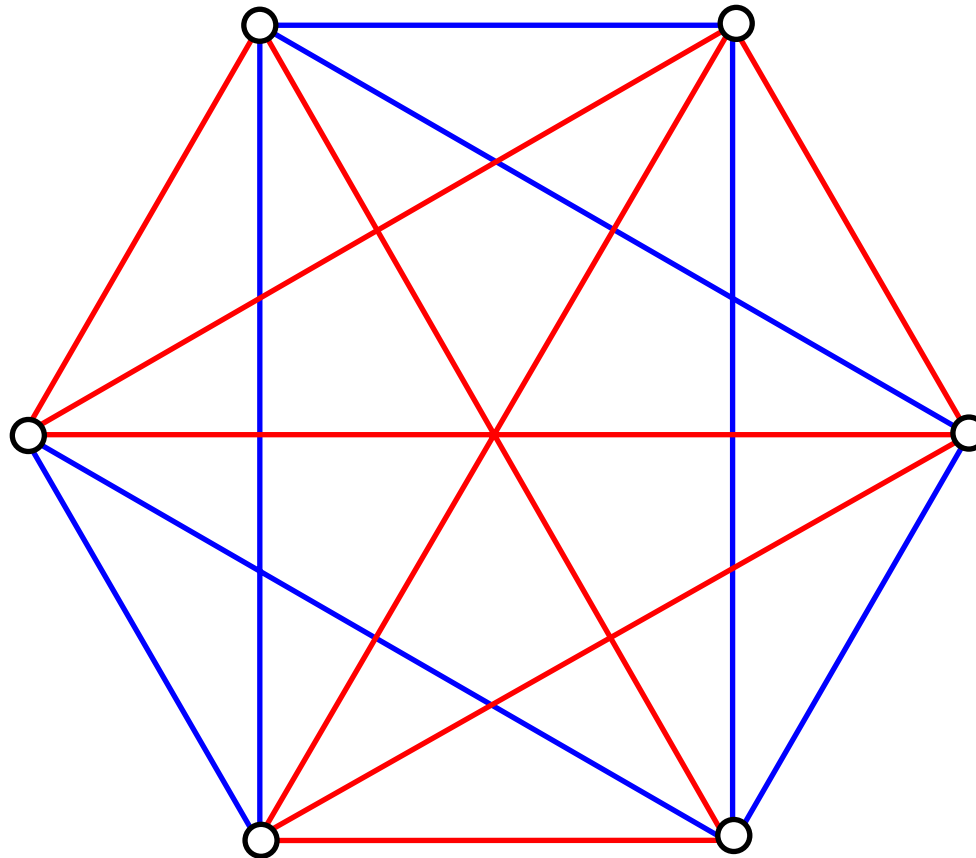
We describe a family of graphs  $G_3(k)$   
graph thickness of  $G_3(k) \leq$  arboricity of  $G_3(k) = 3$   
geometric thickness of  $G_3(k)$  is unbounded

**Key idea: Build families of graphs by modifying complete graphs  
Show directly that one kind of thickness is small  
Use Ramsey Theory to amplify other kind of thickness**

# Ramsey Theory

**General idea: large enough structures have highly ordered substructures**

For any number of colors  $r$ , and number of vertices  $k$ ,  
we can find a (large) integer  $R_r(k)$   
so that if we use  $r$  colors to color the edges of a complete graph on  $R_r(k)$  vertices  
then some  $k$ -vertex complete subgraph uses only one edge color

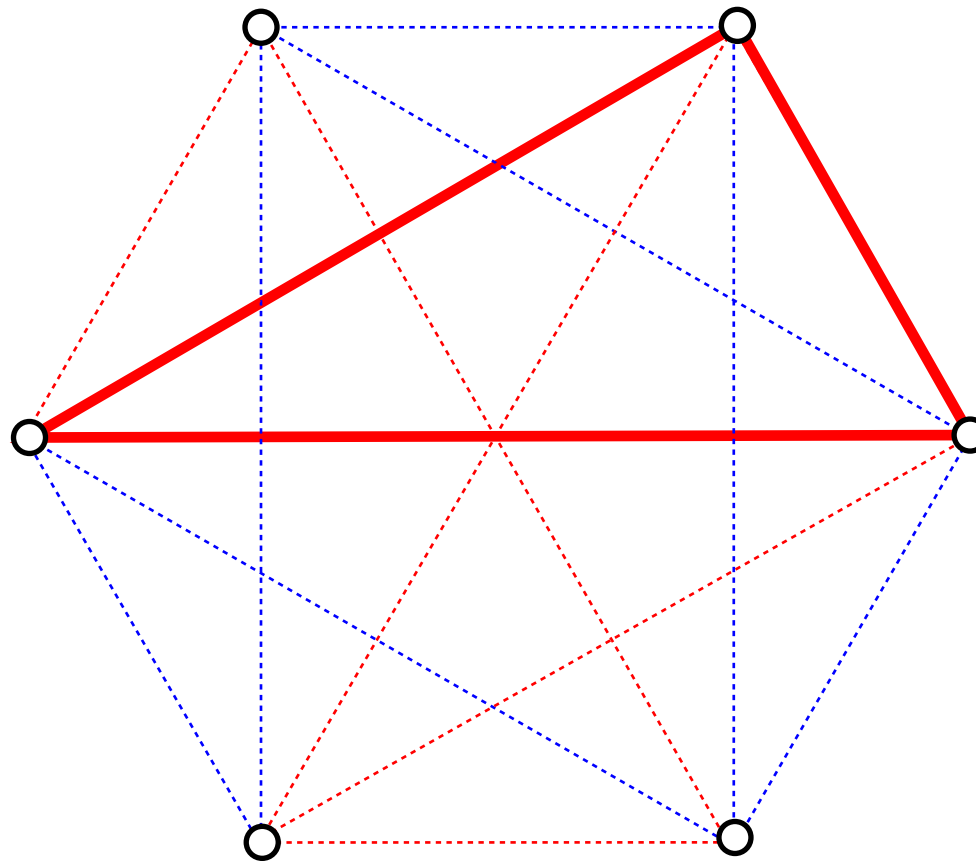


Example:  $R_2(3) = 6$

# Ramsey Theory

**General idea: large enough structures have highly ordered substructures**

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Example:  $R_2(3) = 6$

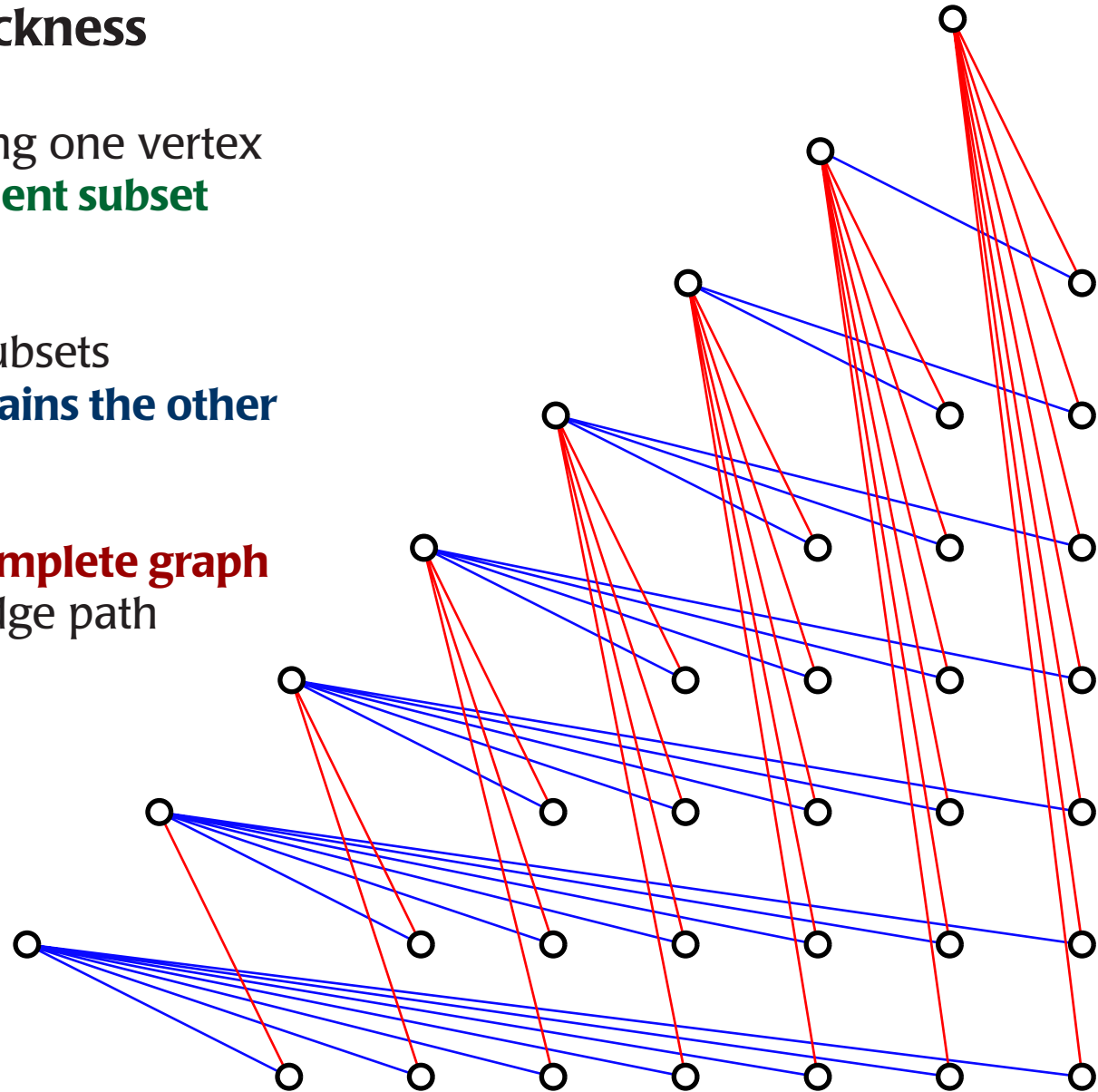
# Graphs with high book thickness and low geometric thickness

Form graph  $G_2(k)$  by making one vertex for **each one- or two-element subset** of a  $k$ -element set

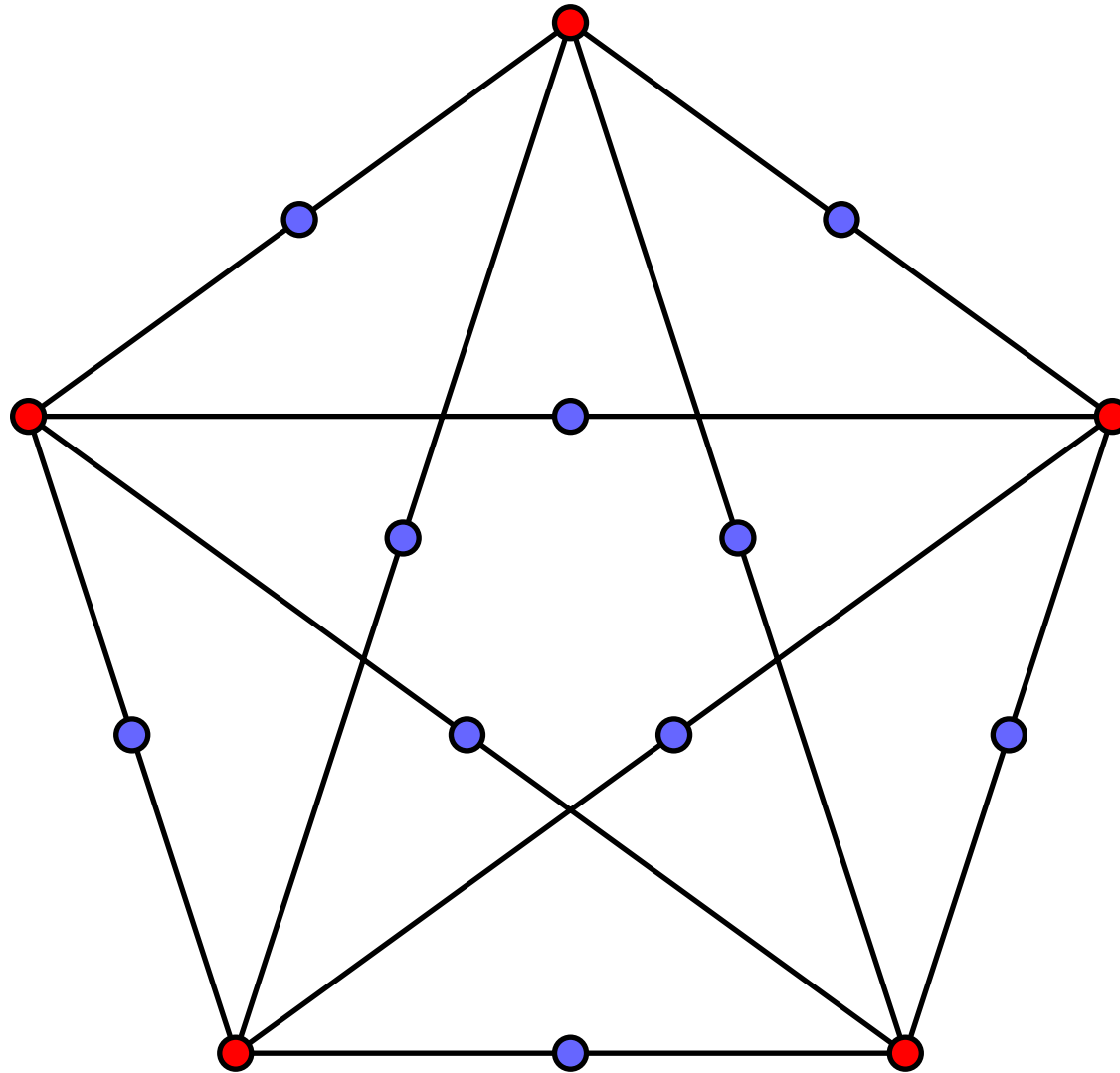
Place edge between two subsets whenever **one subset contains the other**

Equivalently...  
**subdivide each edge of complete graph** on  $k$  vertices, into a two-edge path

$G_2(k)$  can be drawn with  
**geometric thickness = 2**



$G_2(5)$  has book thickness greater than two ...

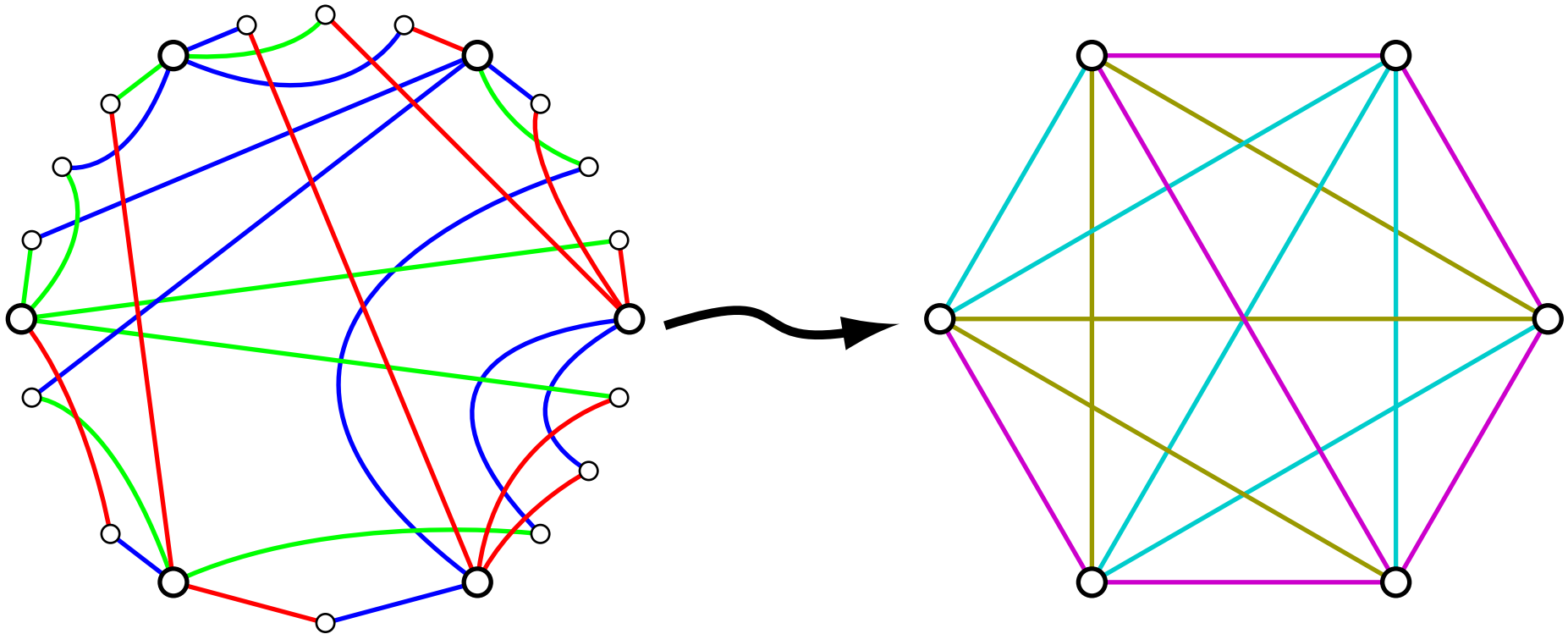


**... because it's not planar**

Books with two leaves can be flattened into a single plane



For any  $t$ ,  $G_2(R_{t(t-1)/2}(5))$  has book thickness greater than  $t$



Proof:

Let  $R = R_{t(t-1)/2}(5)$

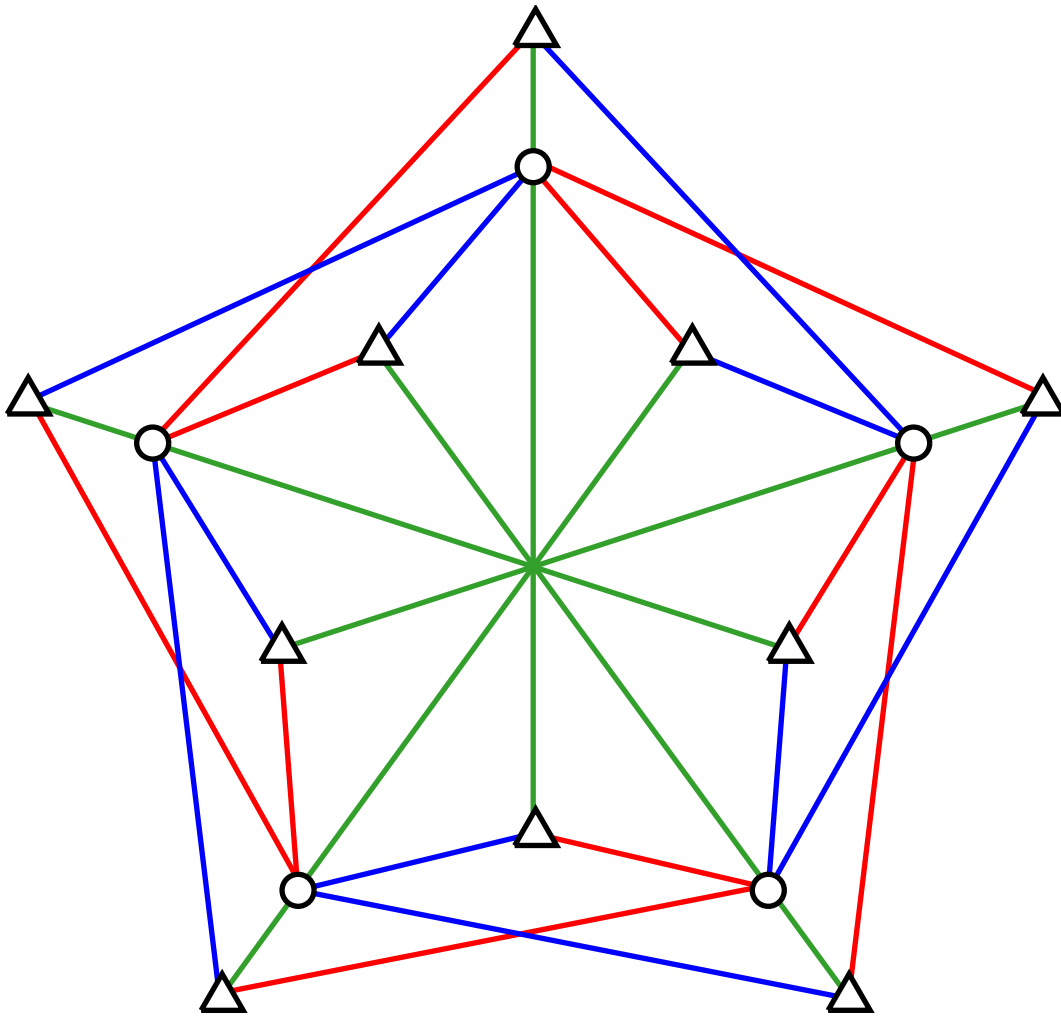
Suppose we had a book drawing of  $G_2(R)$  with only  $t$  layers  
view pairs of layers in each 2-edge path as single colors of edges of  $K_R$

Ramsey theory provides monochromatic  $K_5$   
corresponds to  $G_2(5)$  subgraph of  $G_2(R)$  with only two layers  
**But  $G_2(5)$  does not have book thickness two, contradiction**

# Graphs with high geometric thickness and low book thickness

Form graph  $G_3(k)$

vertices: one-element (**singleton**) and three-element (**tripleton**) subsets of  $k$ -element set  
edges: **containment relations** between subsets



Arboricity  $\leq 3$ :

Use different colors for the three edges at each tripleton vertex

Forms **forest of stars**

So graph thickness also  $\leq 3$ .

**Same Ramsey theory argument** (with multicolored triples of complete hypergraph) shows geometric thickness unbounded

**...as long as some  $G_3(k)$  has geometric thickness  $> 3$**

## The hard part: showing geometric thickness $> 3$ for some $G_3(k)$

Assume three-layer drawing given with sufficiently large  $k$ , prove in all cases crossing exists  
Use Ramsey theory [X] repeatedly to simplify possible cases.

- Without loss of generality singletons form convex polygon [X]; number vertices clockwise
- Tripletons are either all inside or all outside the polygon [X]
- Layers given by low, middle, high numbered singleton incident to each tripleton [X]
  
- Case 1: Tripletons are all inside the convex polygon  
All tripletons can be assumed to cross the same way (convexly or concavely) [X]
  - Case 1A: all cross convexly
  - Case 1B: all cross concavelyEither case forms grid of line segments forcing two tripletons to be out of position
  
- Case 2: Tripletons are all outside the convex polygon  
Classify tripletons by order in which it sees incident low, middle, high edges  
All tripletons can be assumed to have the same classification [X]
  - Case 2A: order high, low, middle. Can find two crossing tripletons.
  - Case 2B: order middle, high, low. Symmetric to 2A.
  - Case 2C: order low, high, middle. Convex polygon has too many sharp angles.
  - Case 2D: order middle, low, high. Symmetric to 2C.
  - Case 2E: order low, middle, high. All middle edges cross one polygon side; find smaller drawing where all low edges also cross same side; form grid like case 1.
  - Case 2F: order high, middle, low. All middle edges avoid the polygon; find smaller drawing where low edges also avoid polygon [X]; form grid.

## Conclusions

All three concepts of thickness differ by non-constant factors

Arboricity is not a good approximation to geom. or book thickness

## Open Problems

How big is the difference?

Our use of Ramsey theory leads to very small growth rates

What about other families of graphs?

Do bounded-degree graphs have bounded geometric thickness?

If graph thickness is two, how large can geometric thickness be?

We only prove unbounded for graph thickness three

Is optimizing geometric thickness NP-complete?

Can we efficiently find graph drawings with nearly-optimal geometric thickness?

Combine thickness w/other drawing quality measures e.g. area?

What about other constraints on multilayer drawing e.g.  $O(1)$  bends?

Wood looked at one-bend drawing area but allowed edges to change color at the bend