



Exact Reasoning: AND/OR Search and Hybrids

COMPSCI 276, Fall 2013

Set 8, Rina dechter



Probabilistic Inference Tasks

- Belief updating:

$$\text{BEL}(X_i) = P(X_i = x_i \mid \text{evidence})$$

- Finding most probable explanation (MPE)

$$\bar{x}^* = \arg \max_{\bar{x}} P(\bar{x}, e)$$

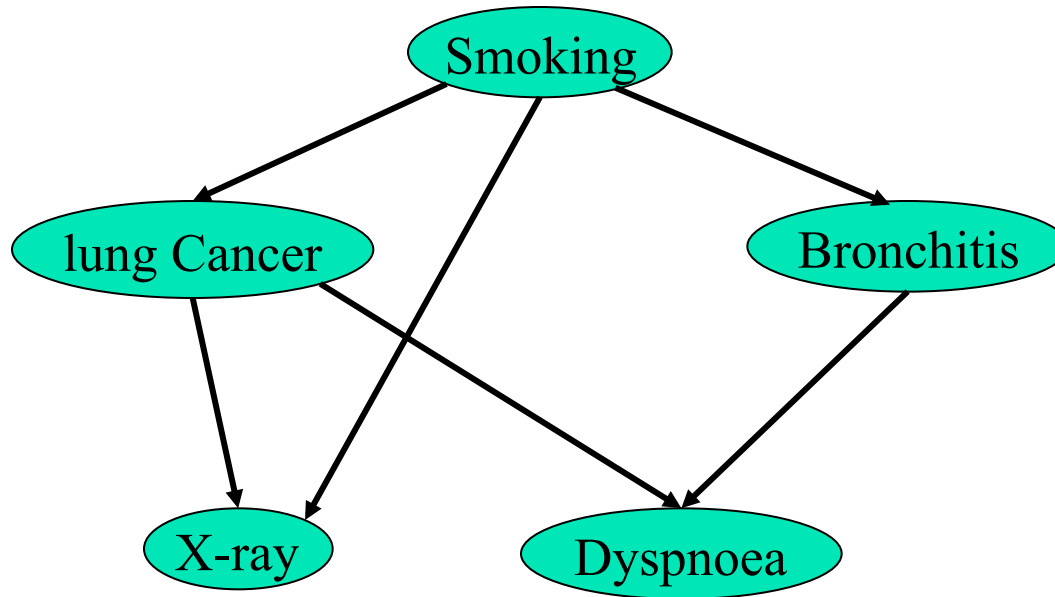
- Finding maximum a-posteriori hypothesis

$$(a_1^*, \dots, a_k^*) = \arg \max_{\bar{a}} \sum_{\bar{x} \in A} P(\bar{x}, e) \quad \begin{array}{l} A \subseteq X: \\ \text{hypothesis variables} \end{array}$$

- Finding maximum-expected-utility (MEU) decision

$$(d_1^*, \dots, d_k^*) = \arg \max_{\bar{d}} \sum_{\bar{x} \in D} P(\bar{x}, e) U(\bar{x}) \quad \begin{array}{l} D \subseteq X: \text{decision variables} \\ U(\bar{x}): \text{utility function} \end{array}$$

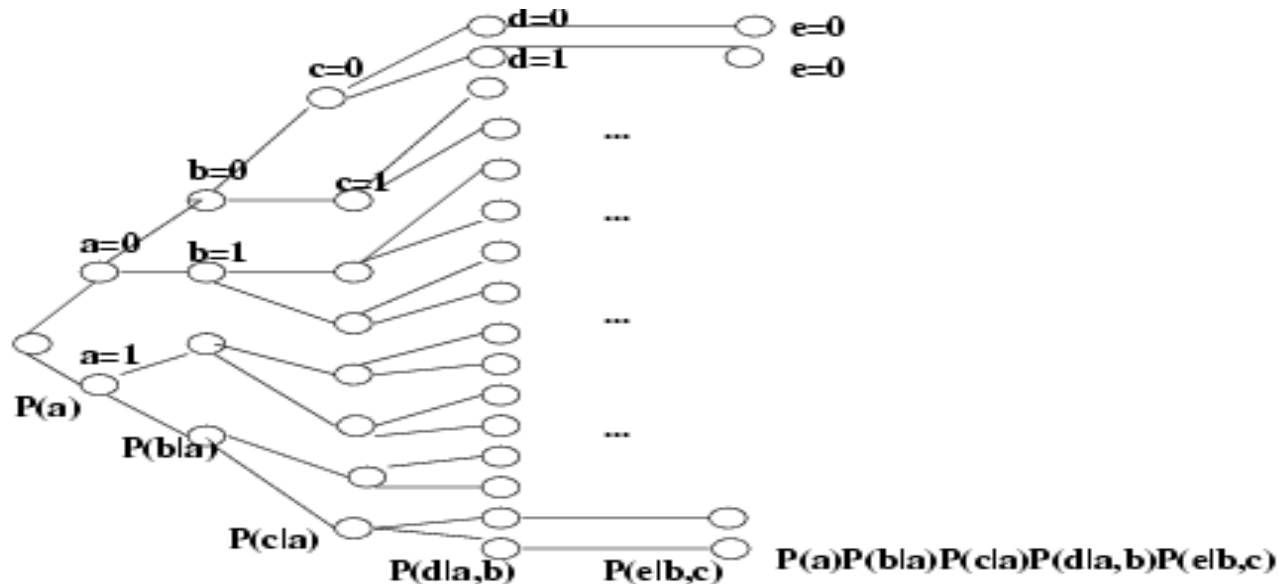
Belief Updating



$P(\text{lung cancer}=\text{yes} \mid \text{smoking}=\text{no}, \text{dyspnoea}=\text{yes}) = ?$

Conditioning generates the probability tree

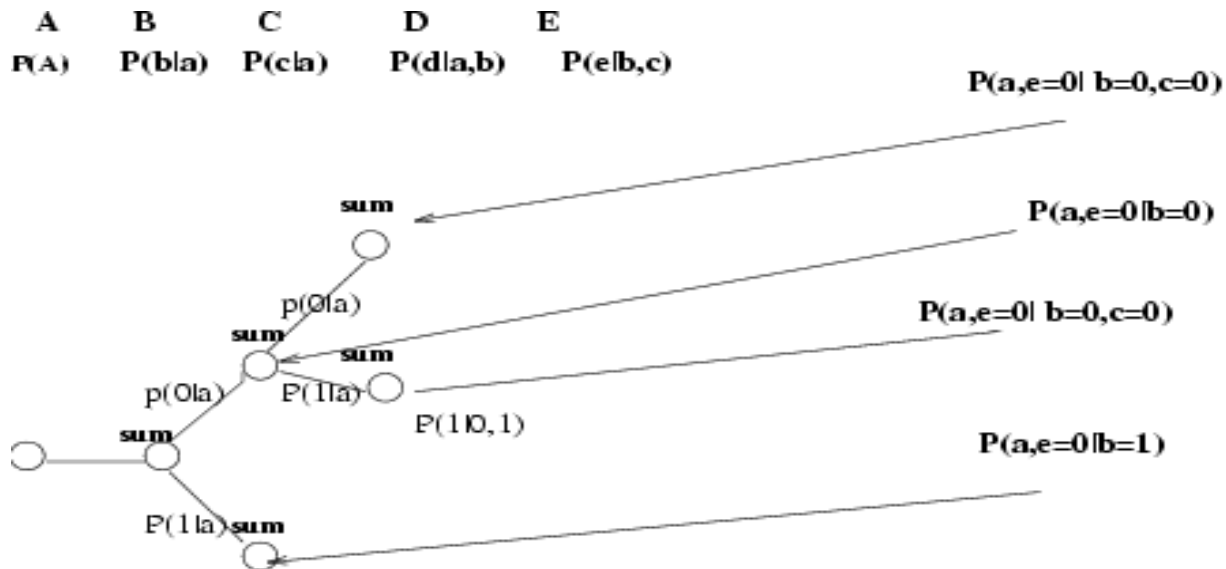
$$P(a, e = 0) = P(a) \sum_b P(b | a) \sum_c P(c | a) \sum_b P(d | a, b) \sum_{e=0} P(e | b, c)$$



Complexity of conditioning: exponential time, linear space

Conditioning+Elimination

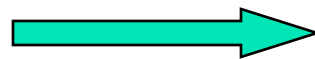
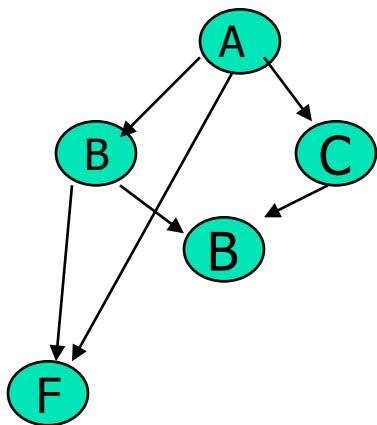
$$P(a, e = 0) = P(a) \sum_b P(b|a) \sum_c P(c|a) \sum_d P(d|a,b) \sum_{e=0} P(e|b,c)$$



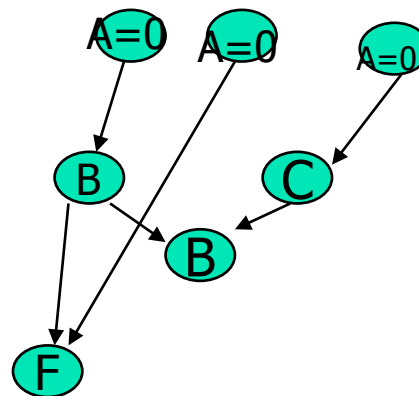
Idea: conditioning until w^* of a (sub)problem gets small

Loop-cutset decomposition

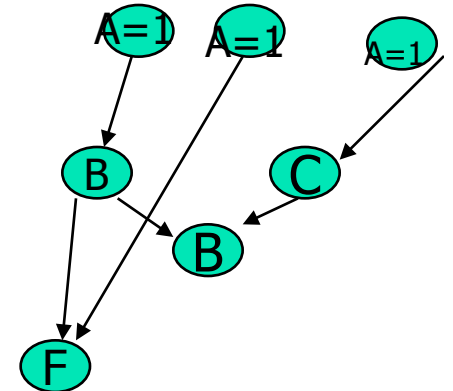
- You condition until you get a polytree



A=0



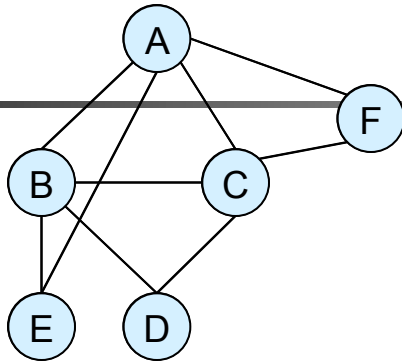
A=1



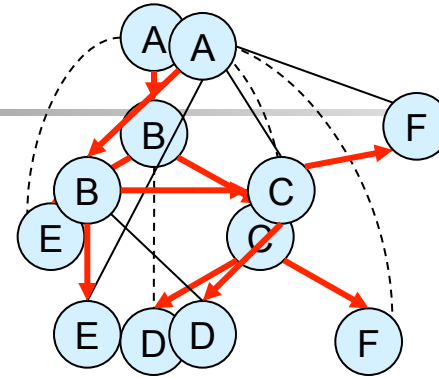
$$P(B|F=0) = P(B, A=0|F=0) + P(B, A=1|F=0)$$

Loop-cutset method is time exp in loop-cutset size
And linear space

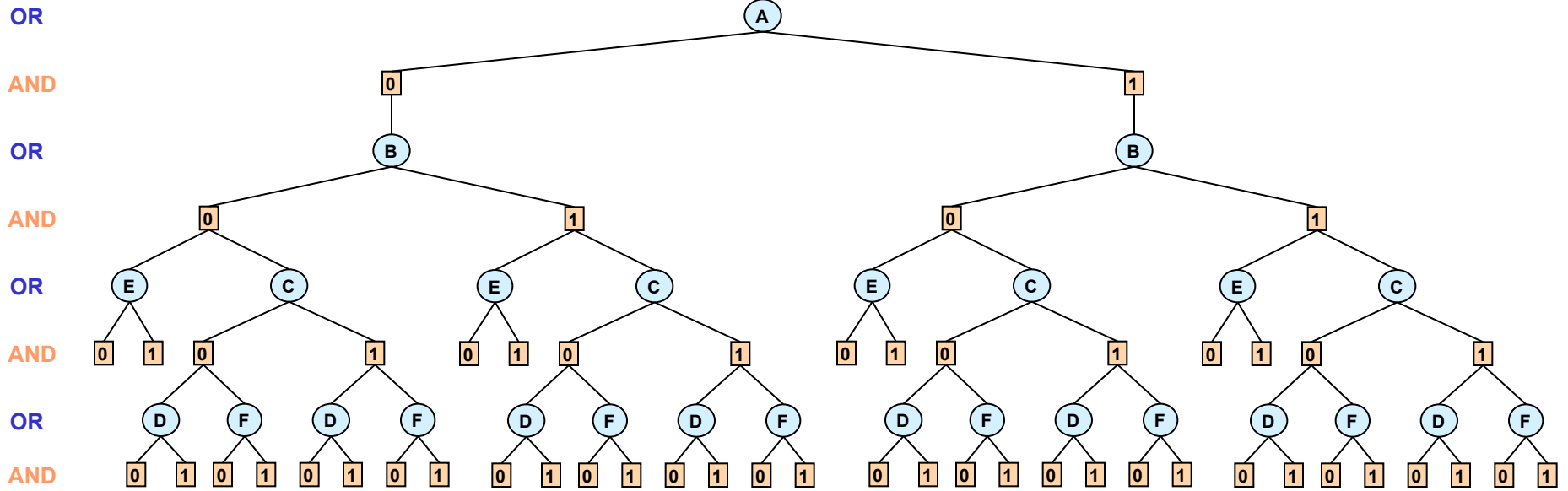
AND/OR search space



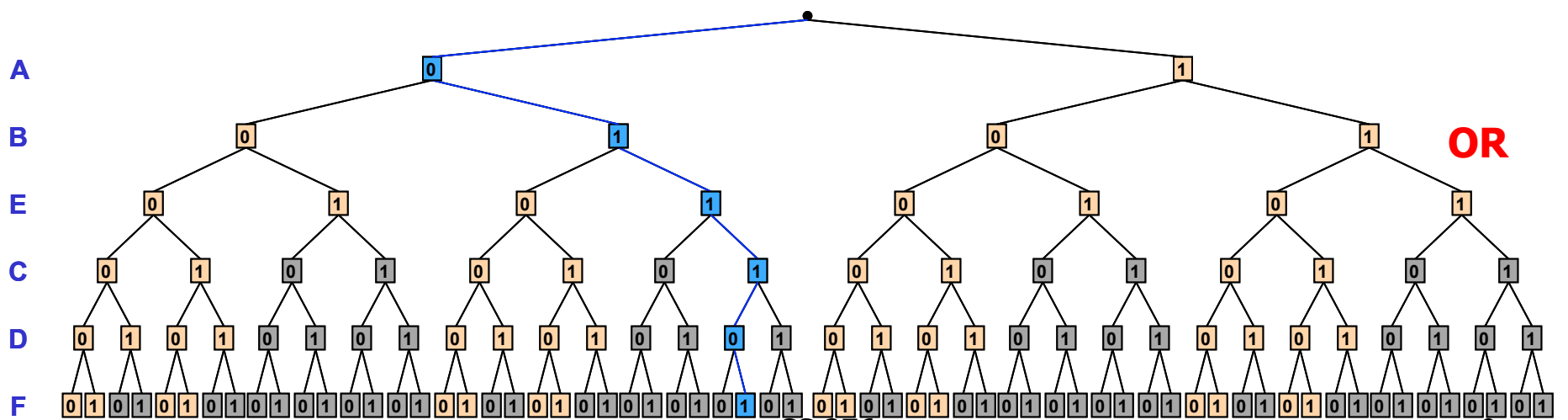
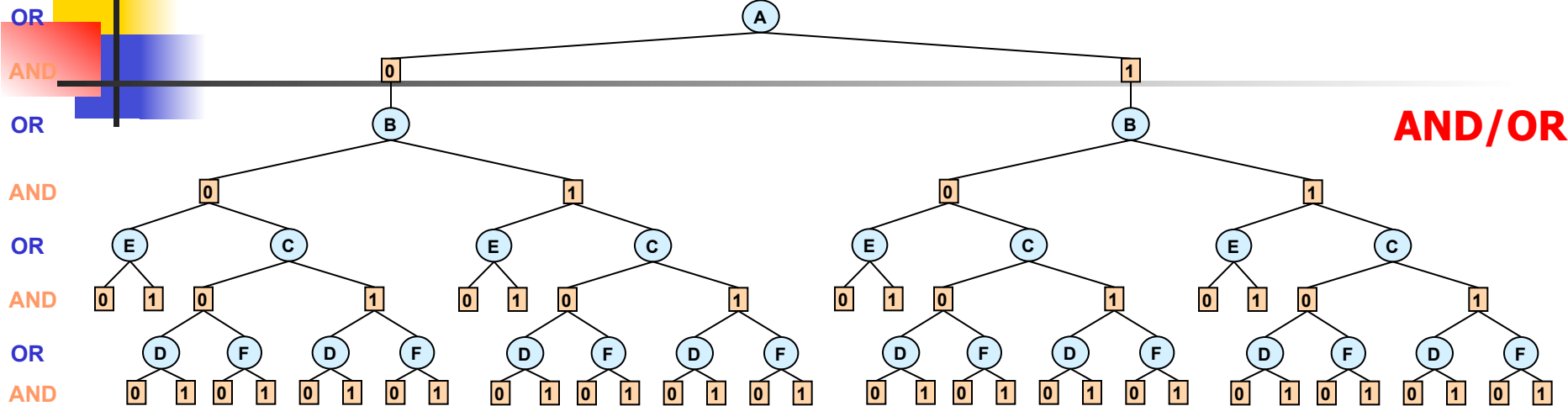
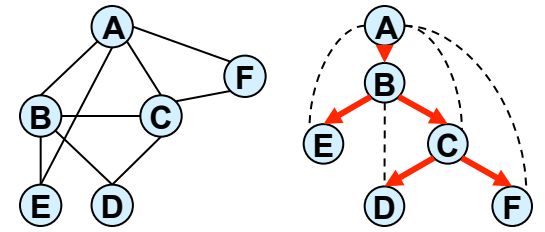
Primal graph



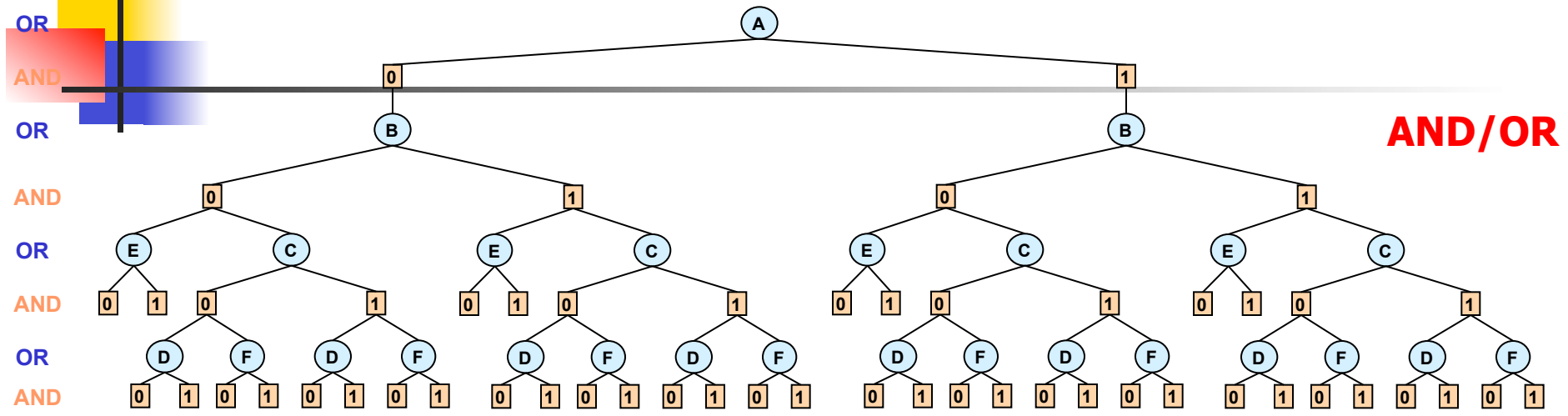
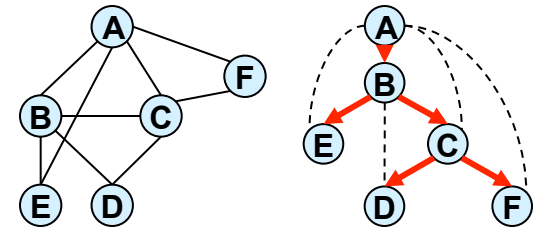
DFS tree



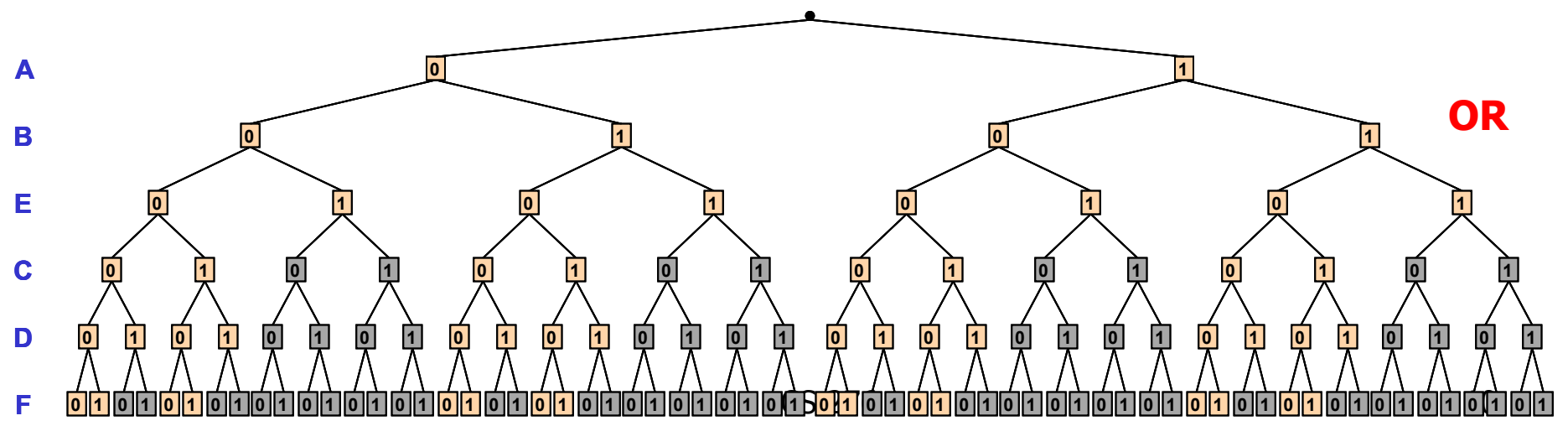
OR vs AND/OR



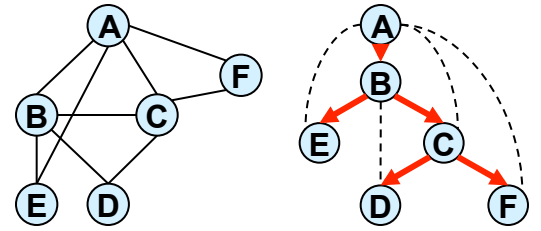
AND/OR vs. OR



AND/OR size: $\exp(4)$, OR size $\exp(6)$



No-goods
 (A=1,B=1)
 (B=0,C=0)



OR

AND

OR

AND

OR

AND

OR

AND

AND/OR vs. OR

AND/OR

OR

A

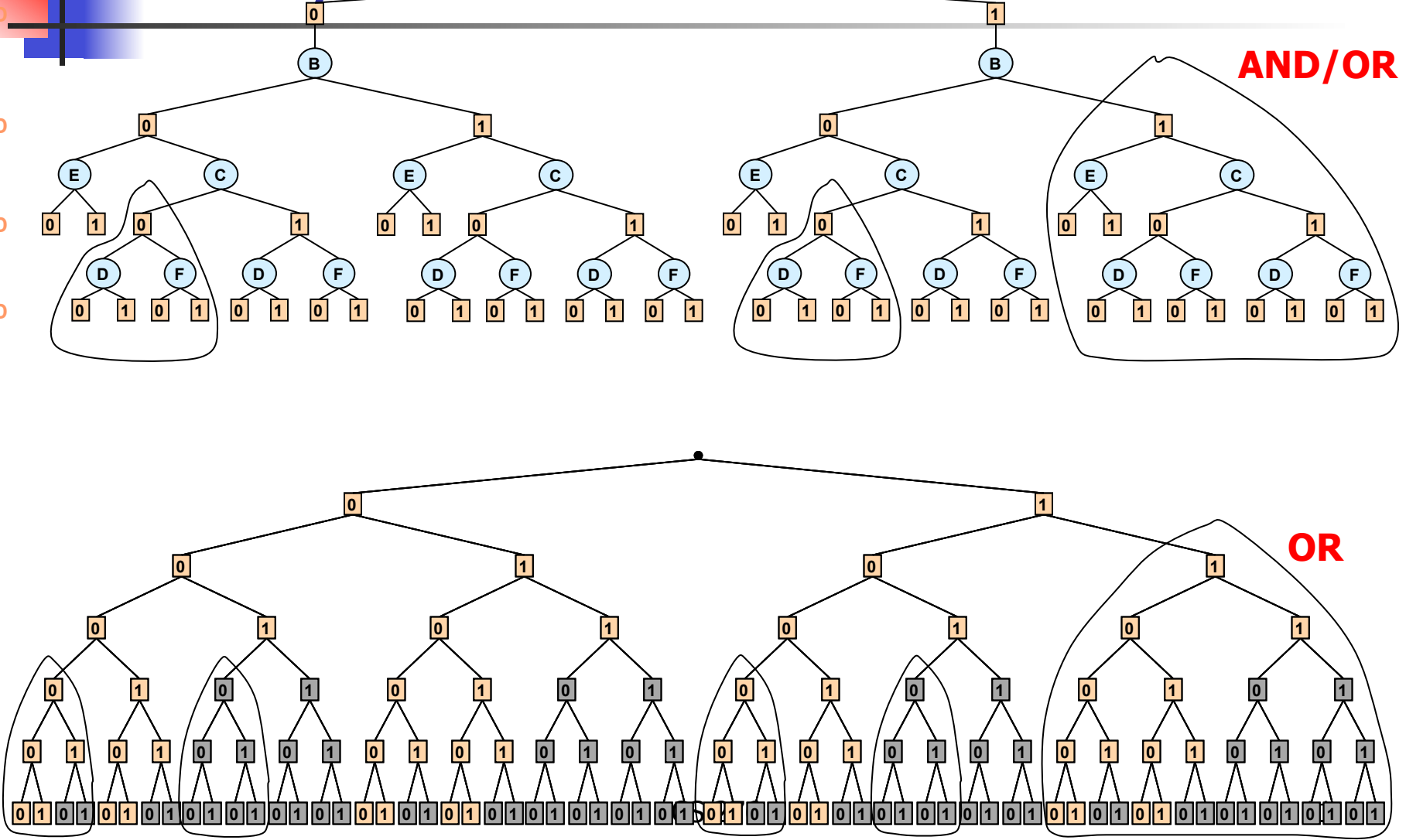
B

E

C

D

F





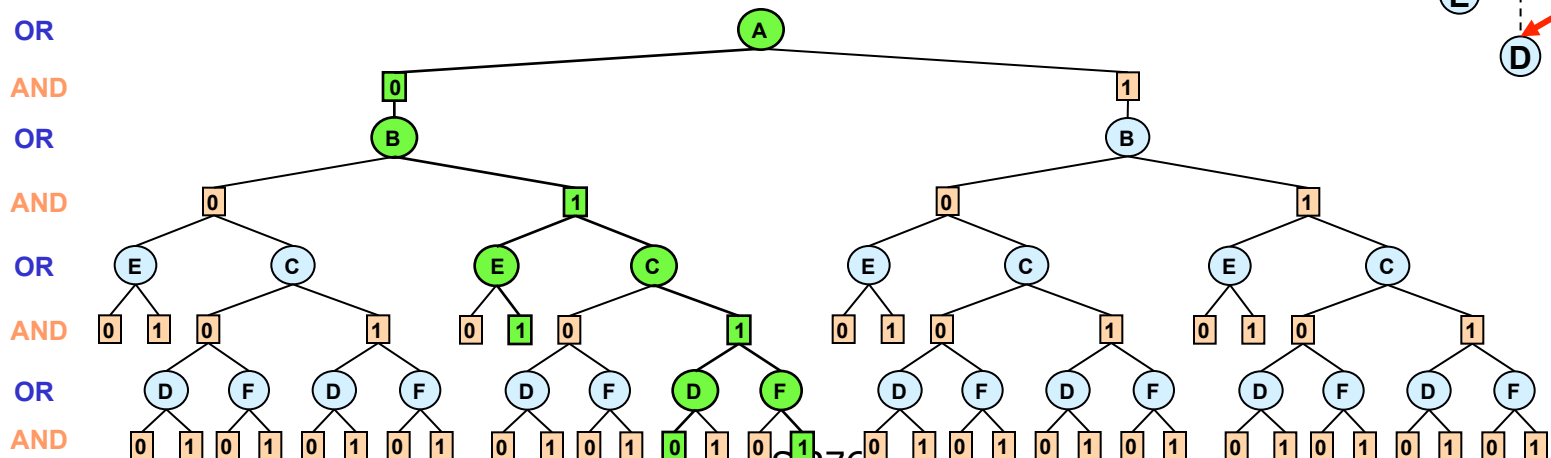
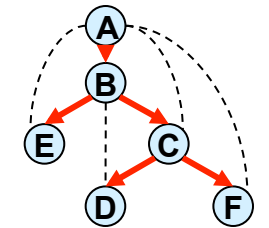
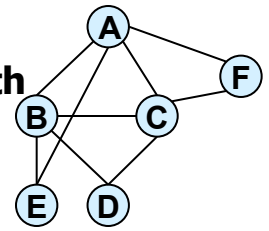
OR space vs. AND/OR space

width	height	OR space			AND/OR space		
		time(sec.)	nodes	backtracks	time(sec.)	AND nodes	OR nodes
5	10	3.154	2,097,150	1,048,575	0.03	10,494	5,247
4	9	3.135	2,097,150	1,048,575	0.01	5,102	2,551
5	10	3.124	2,097,150	1,048,575	0.03	8,926	4,463
4	10	3.125	2,097,150	1,048,575	0.02	7,806	3,903
5	13	3.104	2,097,150	1,048,575	0.1	36,510	18,255
5	10	3.125	2,097,150	1,048,575	0.02	8,254	4,127
6	9	3.124	2,097,150	1,048,575	0.02	6,318	3,159
5	10	3.125	2,097,150	1,048,575	0.02	7,134	3,567
5	13	3.114	2,097,150	1,048,575	0.121	37,374	18,687
5	10	3.114	2,097,150	1,048,575	0.02	7,326	3,663

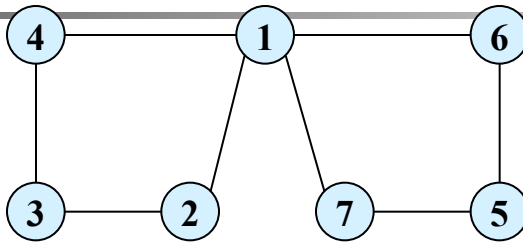
AND/OR search tree for graphical models

The AND/OR search tree of a GM relative to a spanning-tree, T , has:

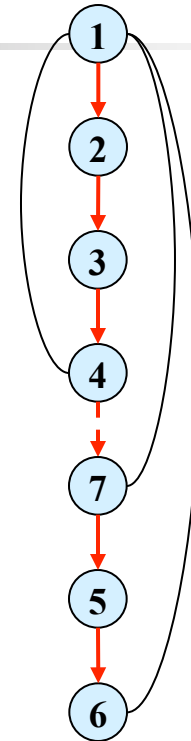
- Alternating levels of: **OR** nodes (variables) and **AND** nodes (values)
- Successor function:**
 - The successors of **OR nodes** X are all its consistent values along its path
 - The successors of **AND** $\langle X, v \rangle$ are all X child variables in T
- A **solution** is a consistent subtree
- Task:** compute the value of the root node



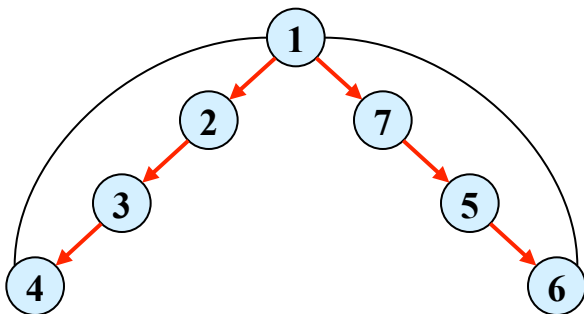
From DFS trees to pseudo-trees (Freuder 85, Bayardo 95)



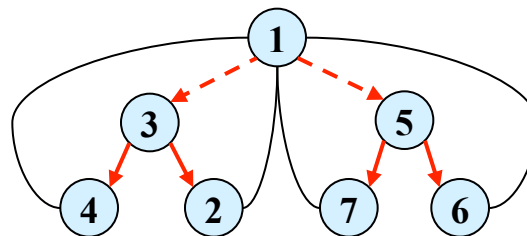
(a) Graph



(d) Chain
depth=6



(b) DFS tree
depth=3



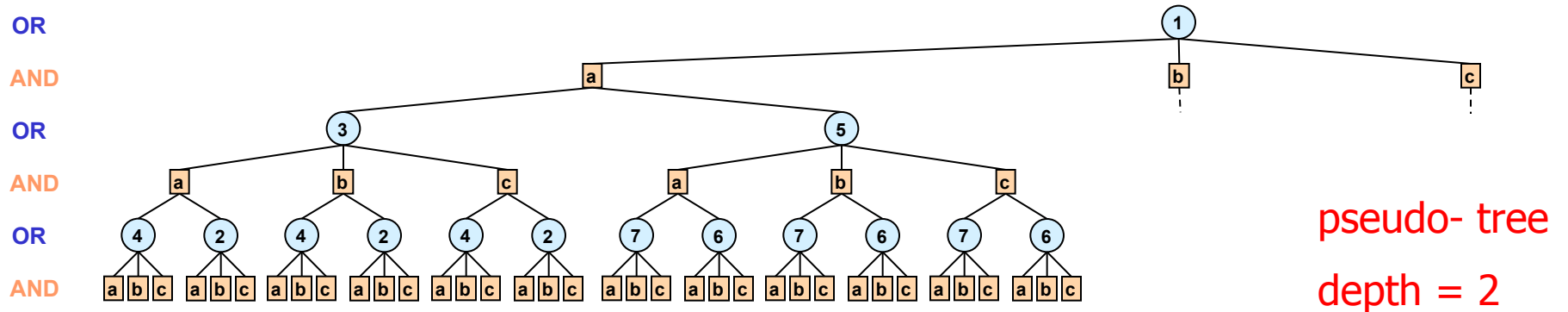
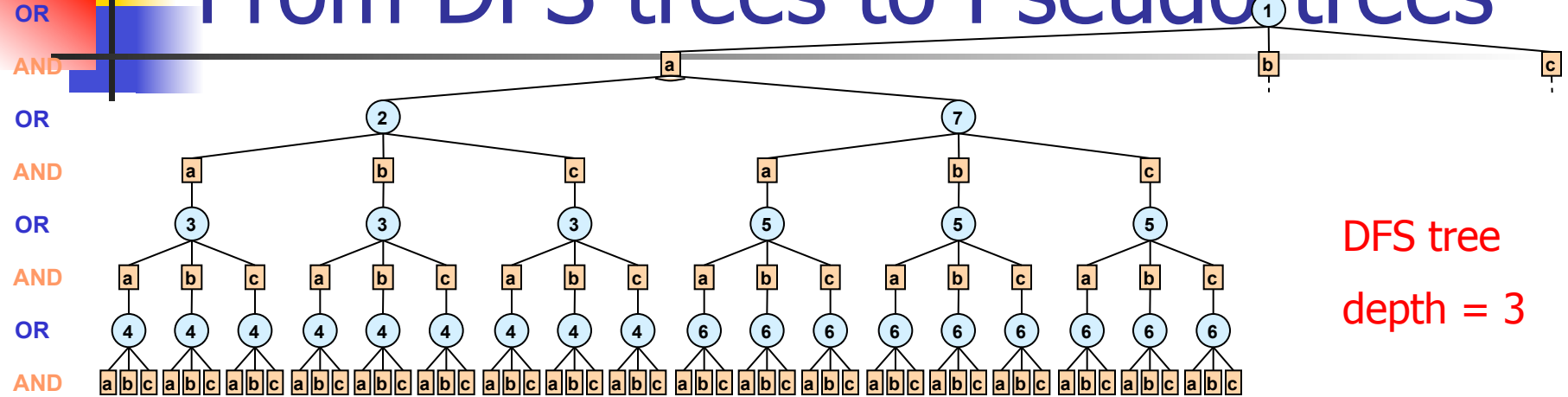
(c) pseudo- tree
depth=2



Pseudo-tree definition

Given undirected graph $G = (V, E)$, a directed rooted tree $T = (V, E')$ defined on all its nodes is a pseudo tree if any arc of G which is not included in E' is a back-arc in T , namely it connects a node in T to an ancestor in T . The arcs in E' may not all be included in E . Given a pseudo tree T of G , the extended graph of G relative to T includes also the arcs in E' that are not in E . Namely the extended graph is defined as $GT = (V, E \cup E')$.

From DFS trees to Pseudo-trees



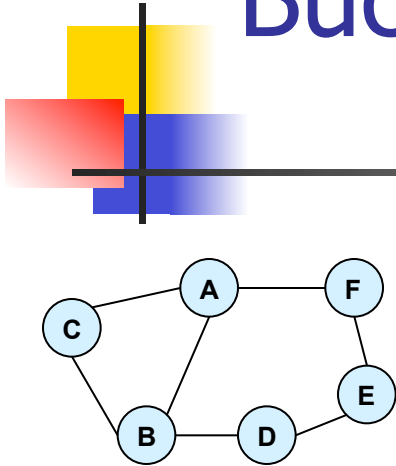


Finding min-depth Pseudo-trees

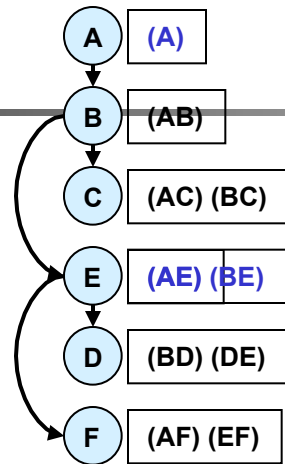
- Finding min depth DFS, or pseudo tree is NP-complete, but:
- Given a tree-decomposition whose tree-width is w^* , there exists a pseudo -tree T of G whose depth, satisfies $m \leq w^* \log n$,

Generating pseudo-trees from Bucket trees

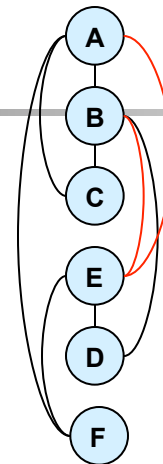
Note: we plot order from top to bottom here



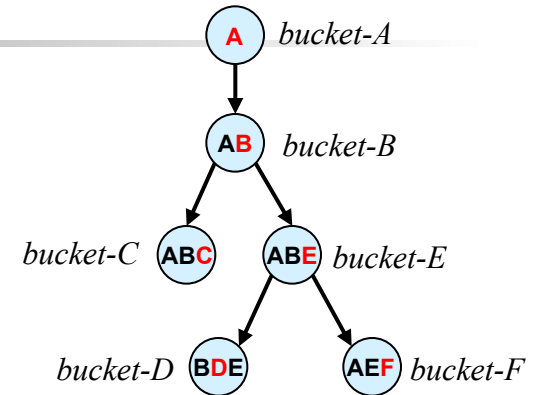
$d: A B C E D F$



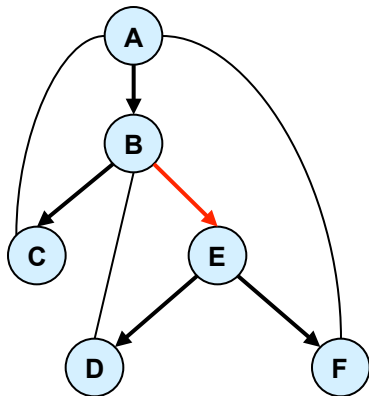
Bucket-tree based on d



Induced graph

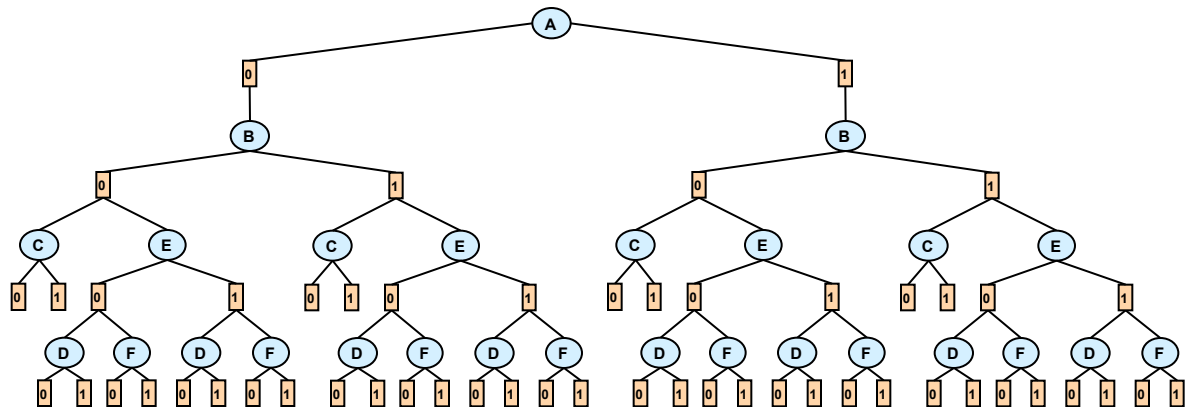


Bucket-tree



Bucket-tree used as pseudo-tree

OR
AND
OR
AND
OR
AND
OR
AND



AND/OR search tree

Constructing Pseudo Trees



- **Min-Fill** (Kjaerulff, 1990)
 - Depth-first traversal of the induced graph obtained along the **min-fill** elimination order, or generate the bucket-tree
 - Variables ordered according to the smallest “fill-set”
- **Hypergraph Partitioning** (Karypis and Kumar, 2000)
 - Functions are vertices in the hypergraph and variables are hyperedges
 - Recursive decomposition of the hypergraph while minimizing the separator size at each step
 - Using state-of-the-art software package **hMeTiS**

Quality of the Pseudo Trees

Network	hypergraph		min-fill	
	width	depth	width	depth
barley	7	13	7	23
diabetes	7	16	4	77
link	21	40	15	53
mildew	5	9	4	13
munin1	12	17	12	29
munin2	9	16	9	32
munin3	9	15	9	30
munin4	9	18	9	30
water	11	16	10	15
pigs	11	20	11	26

yesian Networks Repository

Network	hypergraph		min-fill	
	width	depth	width	depth
spot5	47	152	39	204
spot28	108	138	79	199
spot29	16	23	14	42
spot42	36	48	33	87
spot54	12	16	11	33
spot404	19	26	19	42
spot408	47	52	35	97
spot503	11	20	9	39
spot505	29	42	23	74
spot507	70	122	59	160

SPOT5 Benchmarks

AND/OR Search-tree properties

(k = domain size, m = pseudo-tree depth. n = number of variables)

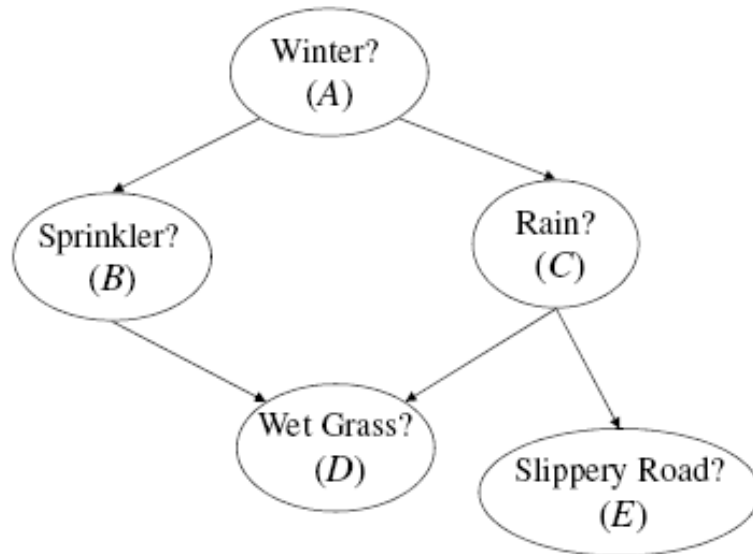
- **Theorem:** Any AND/OR search tree based on a pseudo-tree is sound and complete (expresses all and only solutions)
- **Theorem:** Size of AND/OR search tree is $O(n k^m)$
Size of OR search tree is $O(k^n)$
- **Theorem:** Size of AND/OR search tree can be bounded by $O(\exp(w * \log n))$
- When the pseudo-tree is a chain we get an OR space



Tasks and value of nodes

- **V(n) is the value of the tree T(n) for the task:**
 - **Counting:** $v(n)$ is number of solutions in $T(n)$
 - **Consistency:** $v(n)$ is 0 if $T(n)$ inconsistent, 1 otherwise.
 - **Optimization:** $v(n)$ is the optimal solution in $T(n)$
 - **Belief updating:** $v(n)$, probability of evidence in $T(n)$.
 - **Partition function:** $v(n)$ is the total probability in $T(n)$.
- **Goal:** compute the value of the root node recursively using dfs search of the AND/OR tree.
- **Theorem: Complexity of AO dfs search is**
 - **Space:** $O(n)$
 - **Time:** $O(n k^m)$
 - **Time:** $O(\exp(w^* \log n))$

A Bayesian Network



A	Θ_A
true	.6
false	.4

A	B	$\Theta_{B A}$
true	true	.2
true	false	.8
false	true	.75
false	false	.25

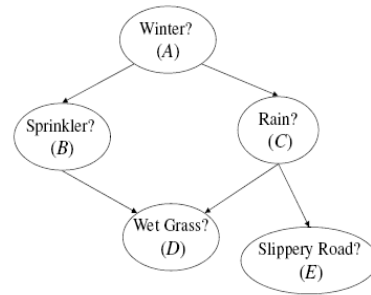
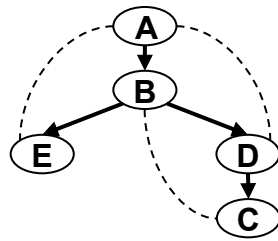
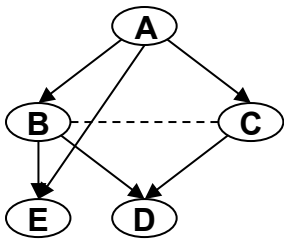
A	C	$\Theta_{C A}$
true	true	.8
true	false	.2
false	true	.1
false	false	.9

B	C	D	$\Theta_{D BC}$
true	true	true	.95
true	true	false	.05
true	false	true	.9
true	false	false	.1
false	true	true	.8
false	true	false	.2
false	false	true	0
false	false	false	1

C	E	$\Theta_{E C}$
true	true	.7
true	false	.3
false	true	0
false	false	1

Belief-updating on example

A Bayesian Network



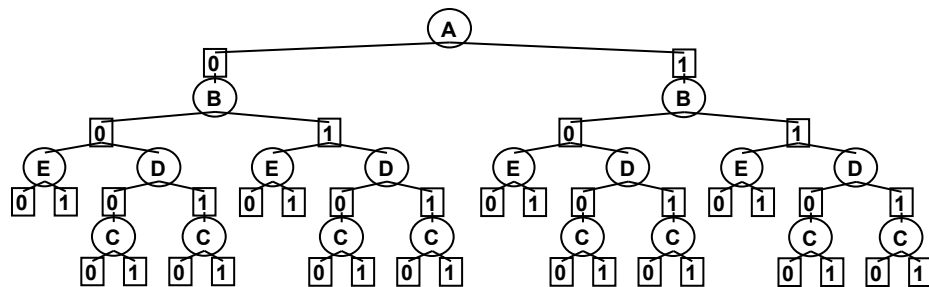
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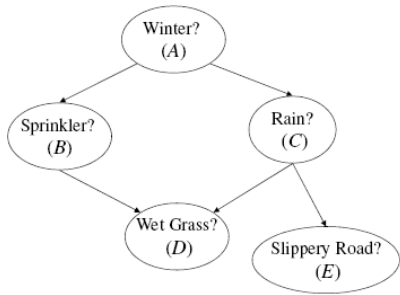
A weight of a Solution Tree

Definition 7.1.9 (weight of a solution subtree) *Given a weighted AND/OR tree $S_T(\mathcal{M})$, of a graphical model \mathcal{M} , and given a solution subtree t , the weight of t is $w(t) = \bigotimes_{e \in \text{arcs}(t)} w(e)$, where $\text{arcs}(t)$ is the set of arcs in subtree t .*

Buckets relative to a pseudo-tree:

$$\text{BT}(X_i) = \{f \in F \mid X_i \in \text{scope}(f), \text{scope}(f) \subseteq \text{path}_T(X_i)\}.$$

A Bayesian Network



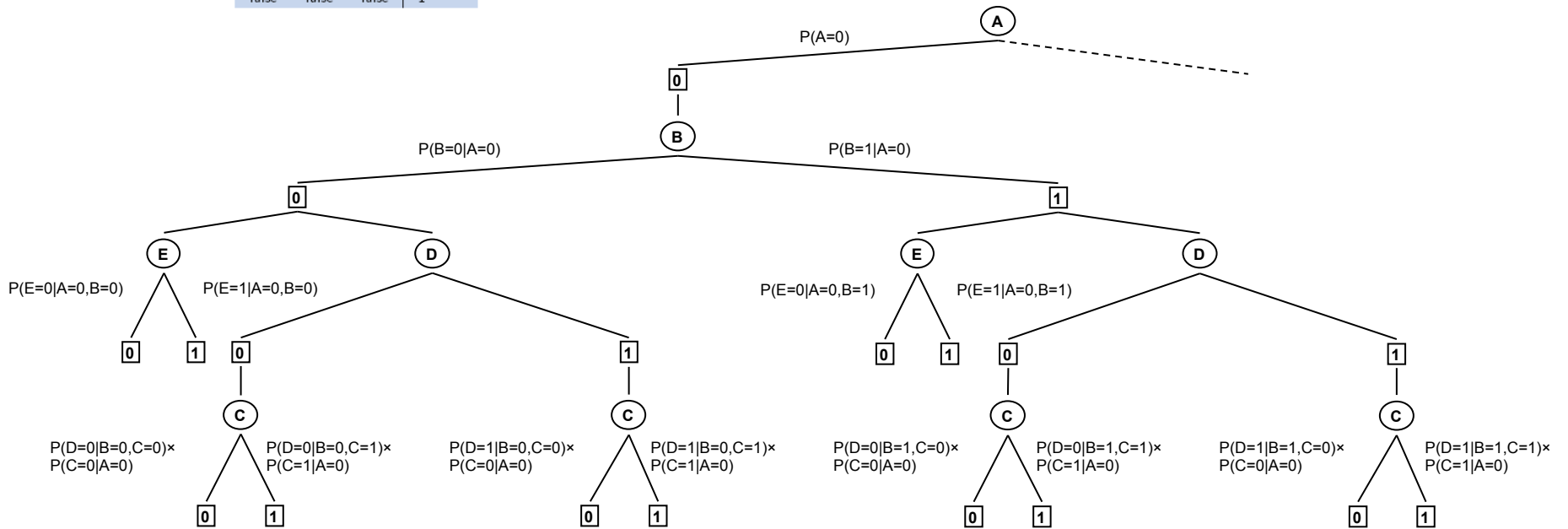
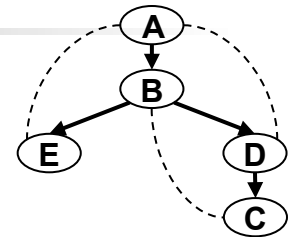
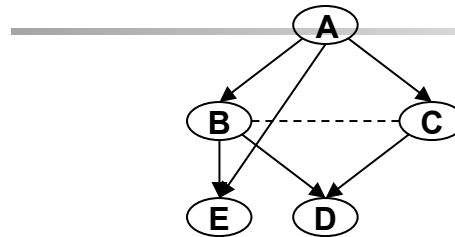
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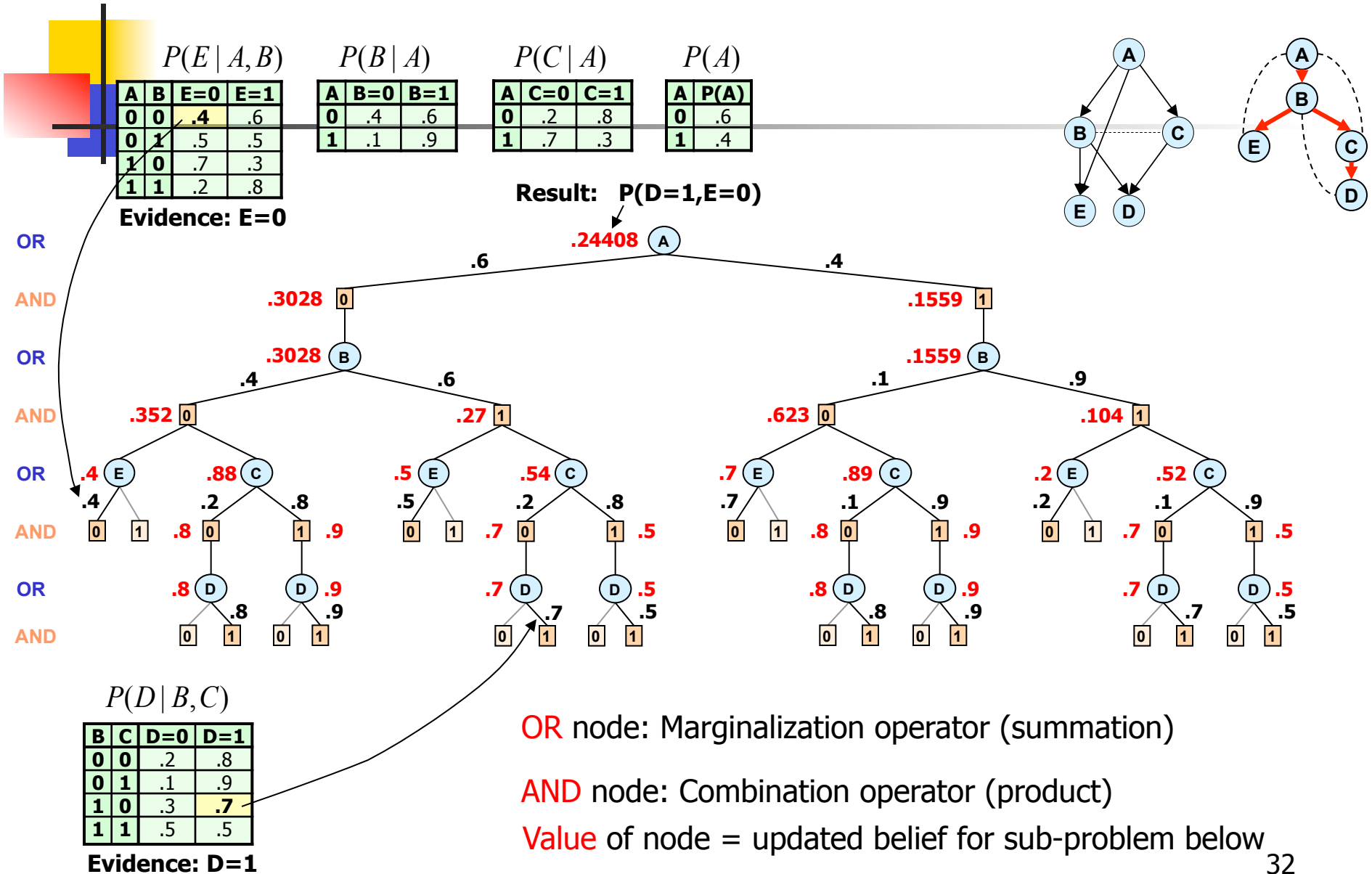
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false	true	false	.2
false	false	true	0
false	false	false	1

C	E	$\Theta_{E C}$
true	true	.7
true	false	.3
false	true	0
false	false	1



AND/OR Tree DFS Algorithm (Belief Updating)



Complexity of AND/OR Tree Search

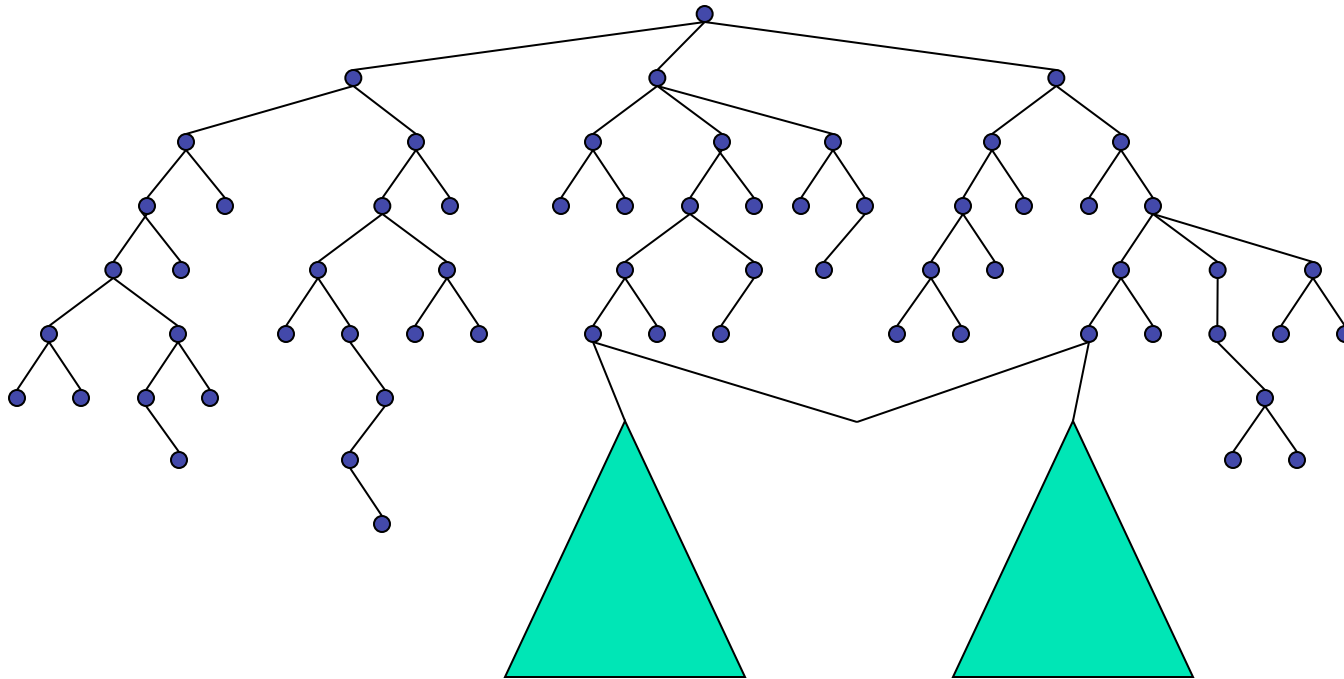
	AND/OR tree	OR tree
Space	$O(n)$	$O(n)$
Time	$O(n k^m)$ $O(n k^{w^*} \log n)$ [Freuder & Quinn85], [Collin, Dechter & Katz91], [Bayardo & Miranker95], [Darwiche01]	$O(k^n)$

k = domain size
 m = depth of pseudo-tree
 n = number of variables
 w^* = treewidth
 CS 276



From Search Trees to Search Graphs

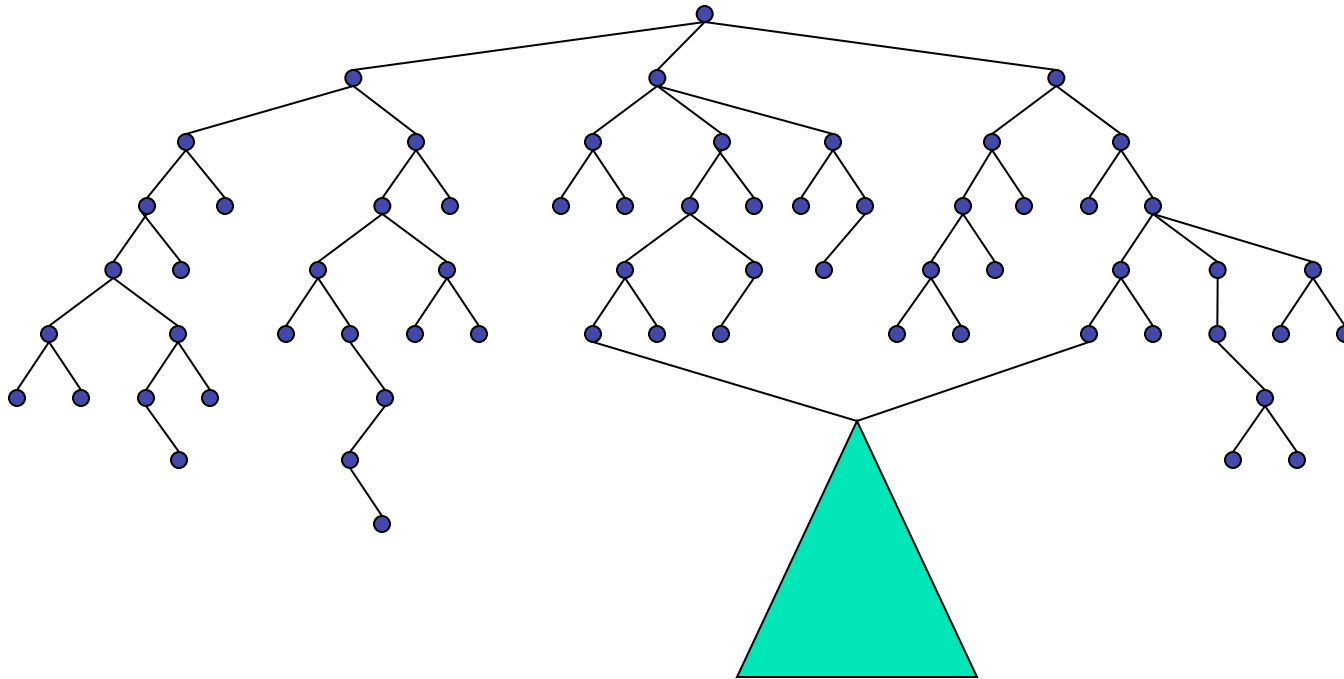
- Any two nodes that root identical subtrees (subgraphs) can be **merged**



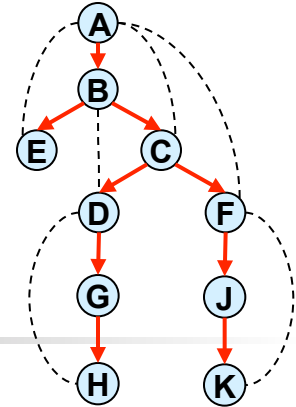
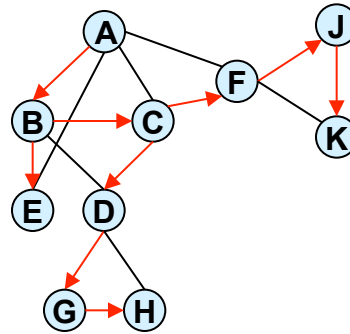


From Search Trees to Search Graphs

- Any two nodes that root identical subtrees (subgraphs) can be **merged**



An AND/OR graph



OR

AND

OR

AND

OR

AND

OR

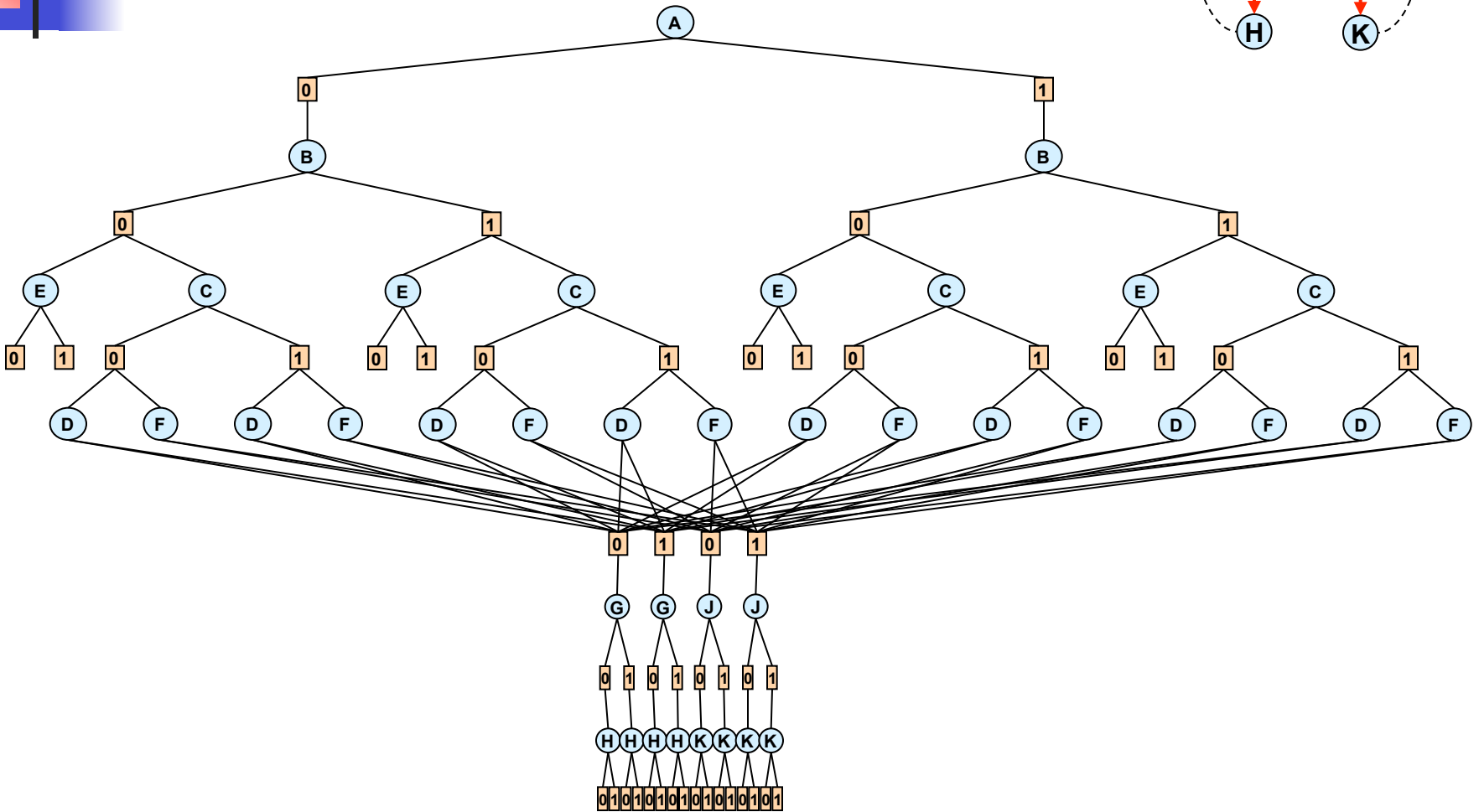
AND

OR

AND

OR

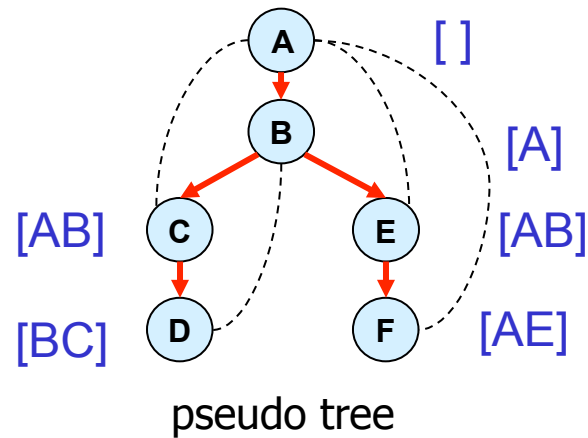
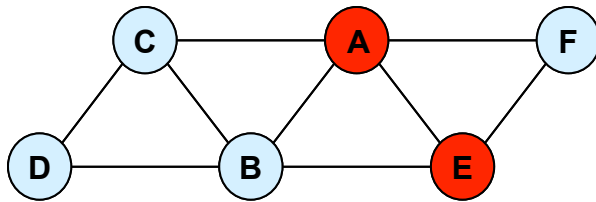
AND



Merging Based on Context

One way of recognizing nodes that can be merged:

context (X) = ancestors of X in pseudo tree that are connected to X, or to descendants of X



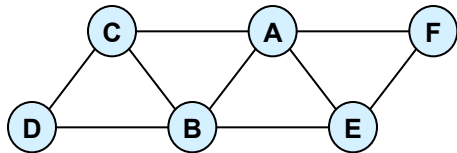
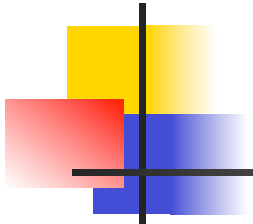


Context-Based Minimal AND/OR Search Graph

Definition 7.2.13 (context minimal AND/OR search graph) *The AND/OR search graph of M guided by a pseudo-tree T that is closed under context-based merge operator, is called the context minimal AND/OR search graph and is denoted by $C_T(R)$.*

AND/OR Search Graph

Constraint Satisfaction – Counting Solutions

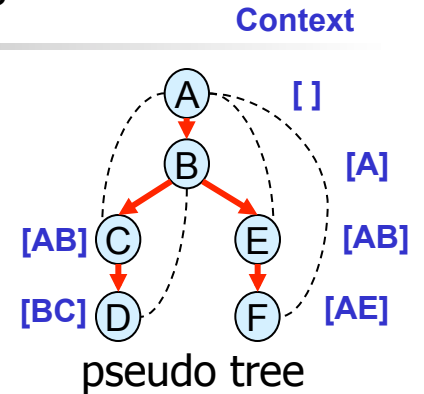


A	B	C	R _{ABC}
0	0	0	1
0	0	1	1
0	1	0	0
0	1	1	1
1	0	0	1
1	0	1	1
1	1	0	1
1	1	1	0

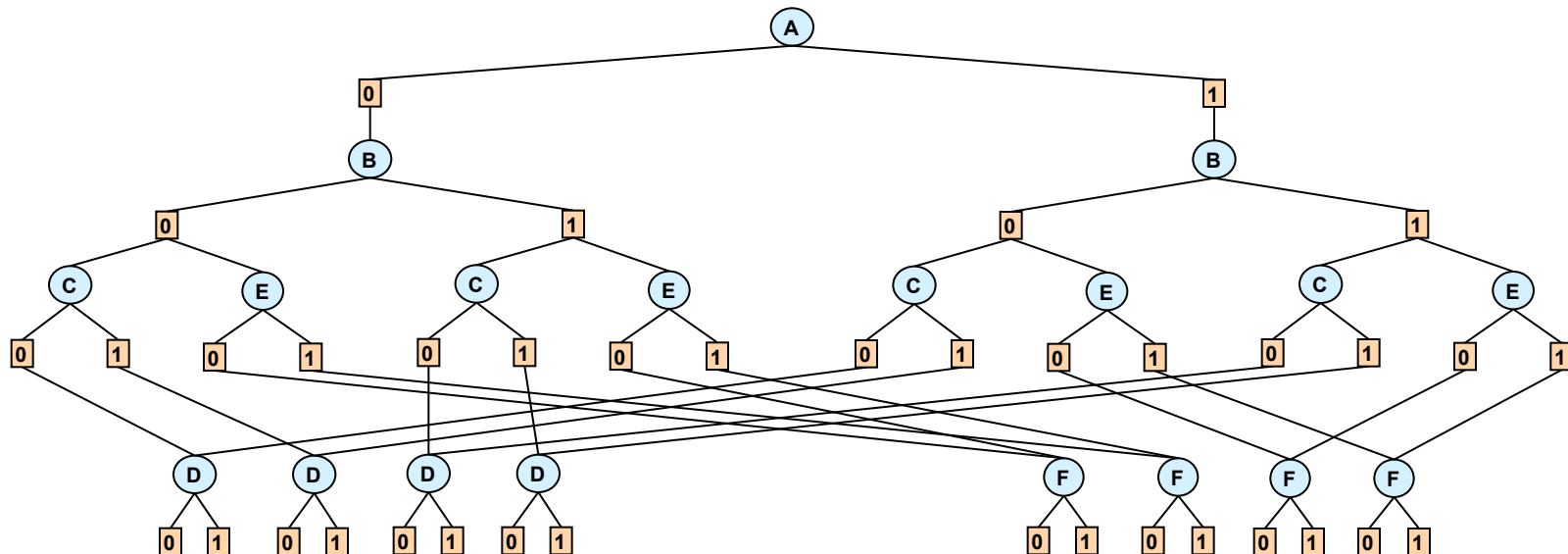
B	C	D	R _{BCD}
0	0	0	1
0	0	1	1
0	1	0	1
0	1	1	0
1	0	0	1
1	0	1	0
1	1	0	1
1	1	1	1

A	B	E	R _{ABE}
0	0	0	1
0	0	1	0
0	1	0	1
0	1	1	1
1	0	0	0
1	0	1	1
1	1	0	1
1	1	1	0

A	E	F	R _{AEF}
0	0	0	0
0	0	1	1
0	1	0	1
0	1	1	1
1	0	0	1
1	0	1	1
1	1	0	1
1	1	1	0

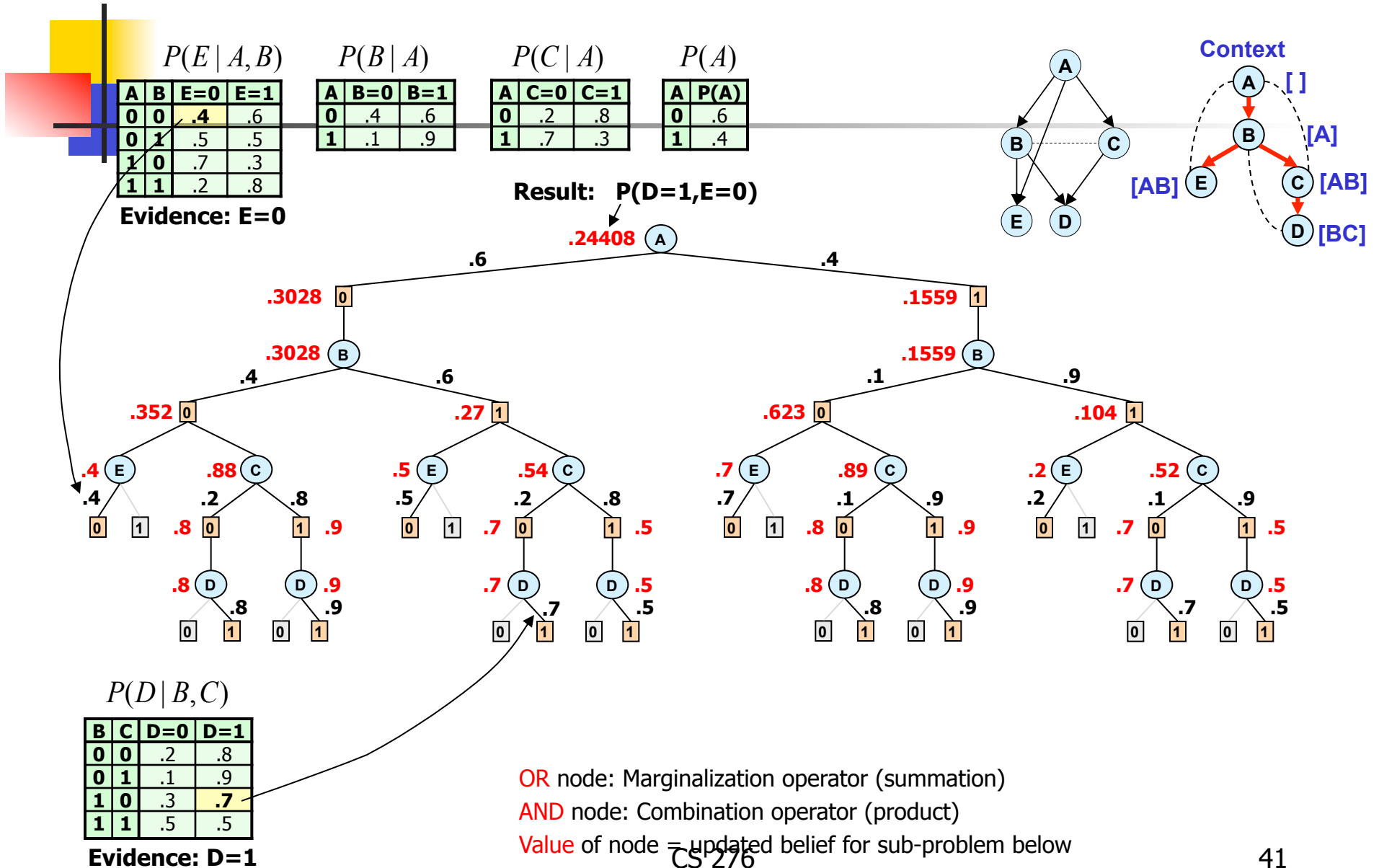


OR
AND
OR
AND
OR
AND

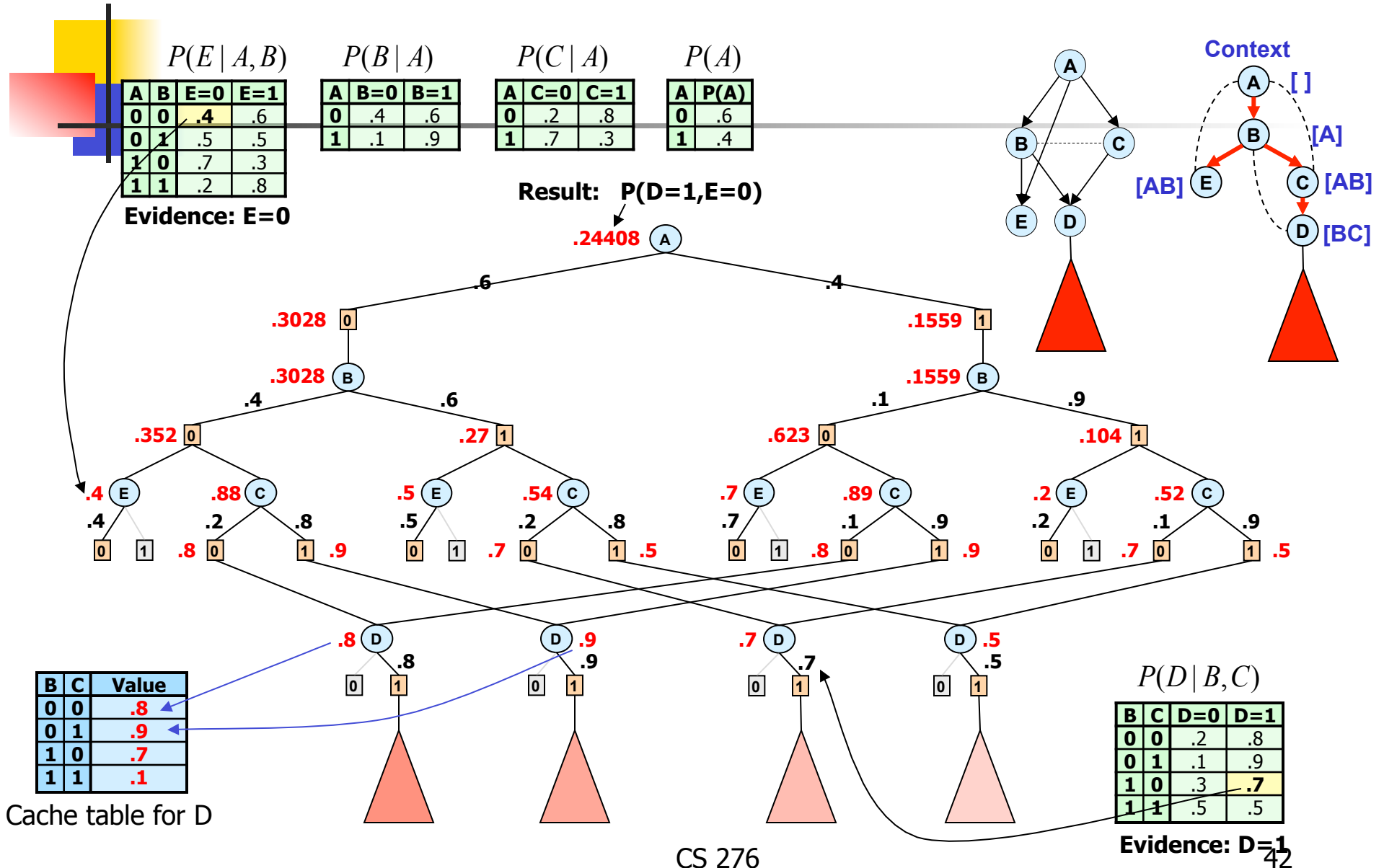


context minimal graph

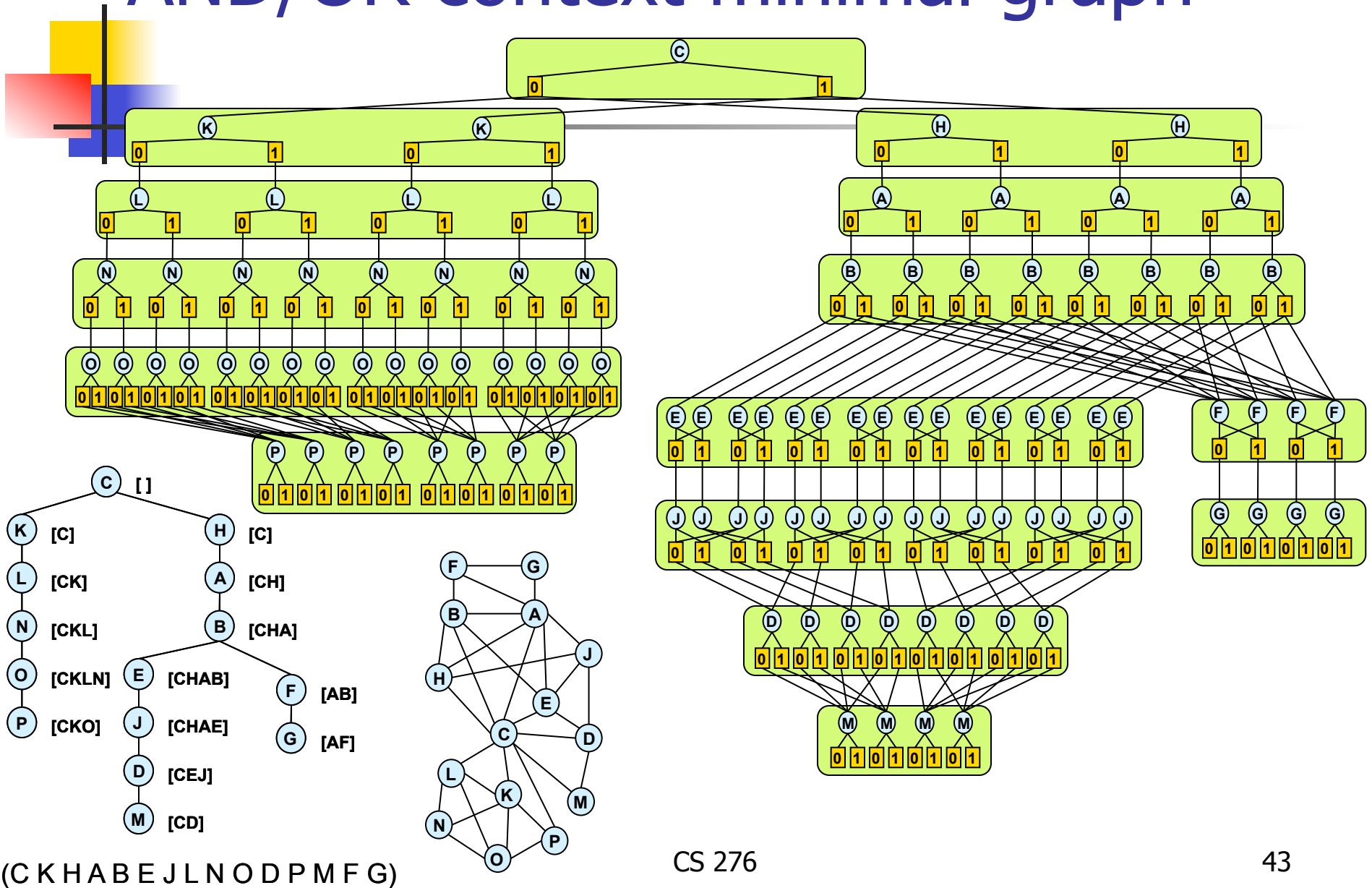
AND/OR Tree DFS Algorithm (Belief Updating)



AND/OR Graph DFS Algorithm (Belief Updating)

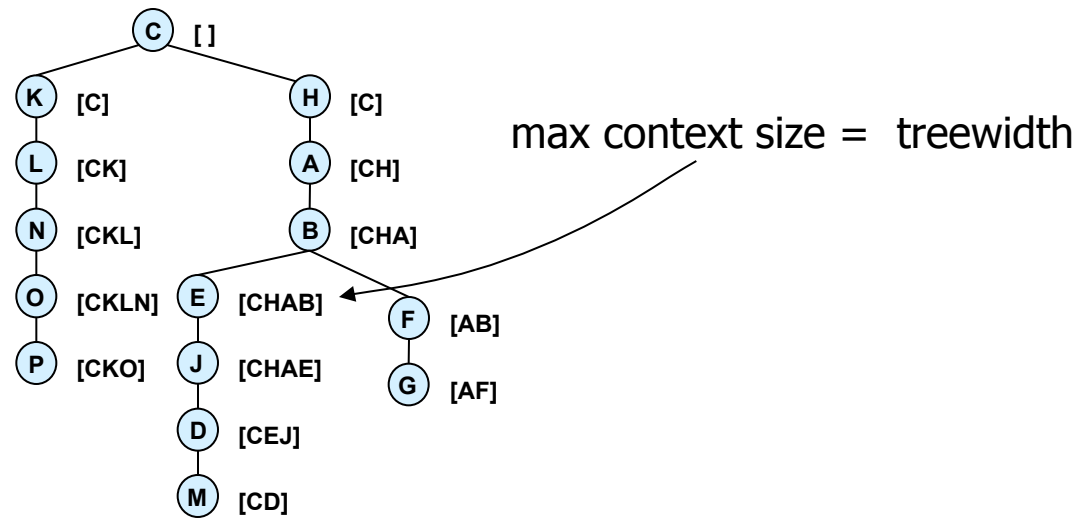
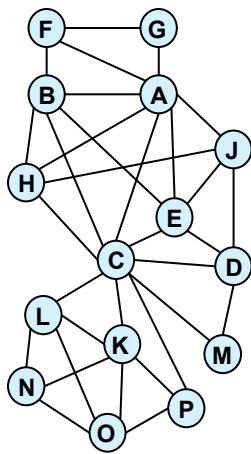


AND/OR context minimal graph



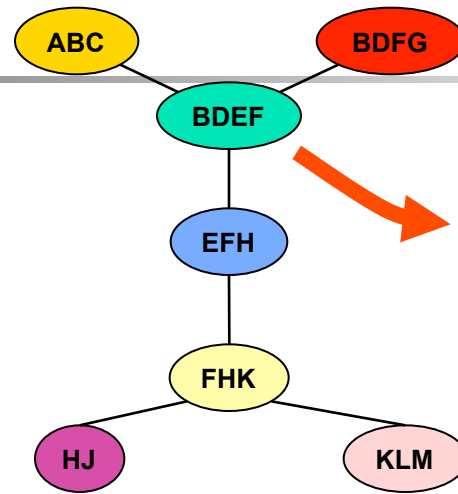
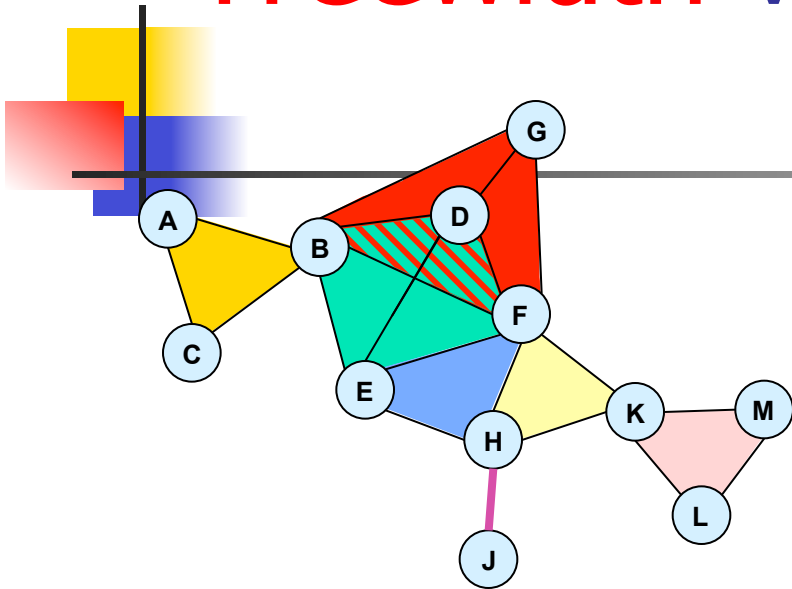
How Big Is the Context?

Theorem: *The maximum context size for a pseudo tree is equal to the treewidth of the graph along the pseudo tree.*



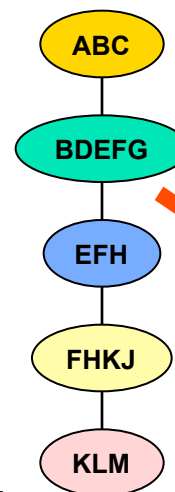
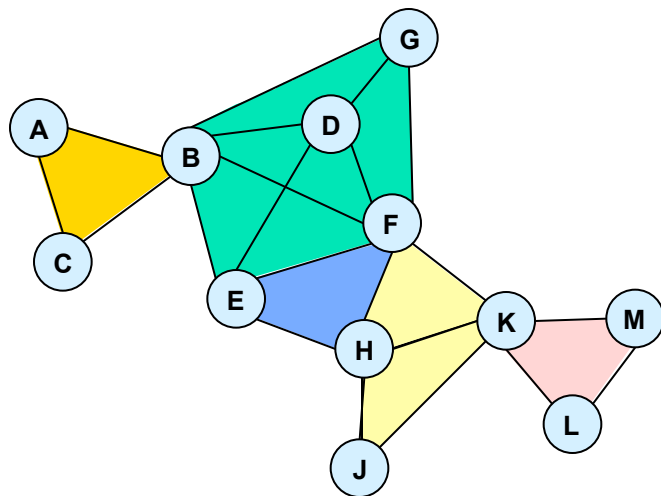
(CKHABEJLNODPMFG)

Treewidth vs. Pathwidth



TREE

treewidth = 3
= (max cluster size) - 1



CHAIN

pathwidth = 4
= (max cluster size) - 1



Tasks and value of nodes

- **V(n) is the value of the tree T(n) for the task:**
 - **Counting:** $v(n)$ is number of solutions in $T(n)$
 - **Consistency:** $v(n)$ is 0 if $T(n)$ inconsistent, 1 otherwise.
 - **Optimization:** $v(n)$ is the optimal solution in $T(n)$
 - **Belief updating:** $v(n)$, probability of evidence in $T(n)$.
 - **Partition function:** $v(n)$ is the total probability in $T(n)$.
- **Theorem: Complexity of AO dfs search tree is**
 - **Space:** $O(n)$
 - **Time:** $O(n k^m)$
 - **Time:** $O(\exp(w^* \log n))$
- **Theorem: Complexity of AO dfs search tree is**
 - **Space:** $O(n k^{w^*})$
 - **Time:** $O(n k^{w^*})$
- We can have hybrids trading space for time

Complexity of AND/OR Graph Search

	AND/OR graph	OR graph
Space	$O(n k^{w^*})$	$O(n k^{pw^*})$
Time	$O(n k^{w^*})$	$O(n k^{pw^*})$

k = domain size

n = number of variables

w^* = treewidth

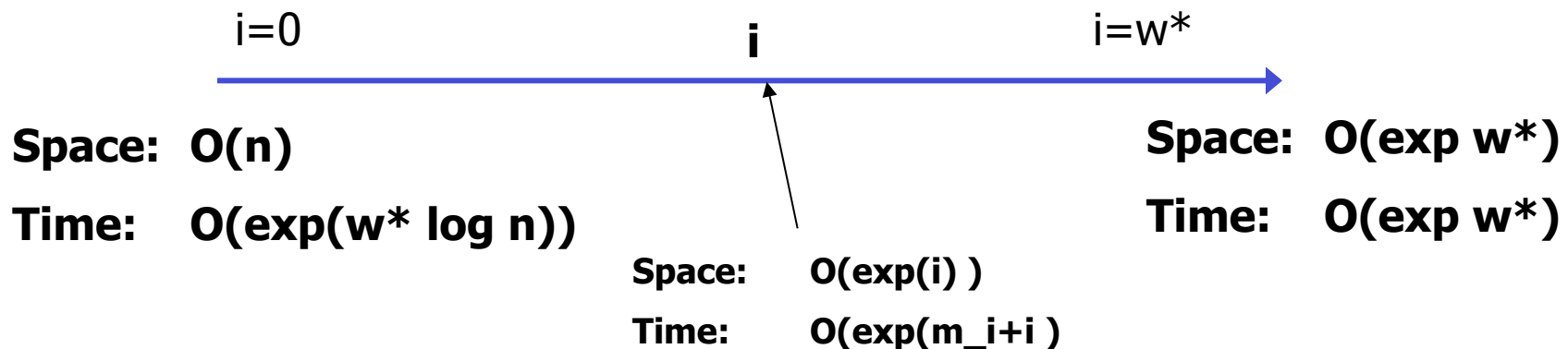
pw^* = pathwidth

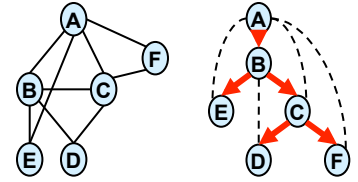
$$w^* \leq pw^* \leq w^* \log n$$



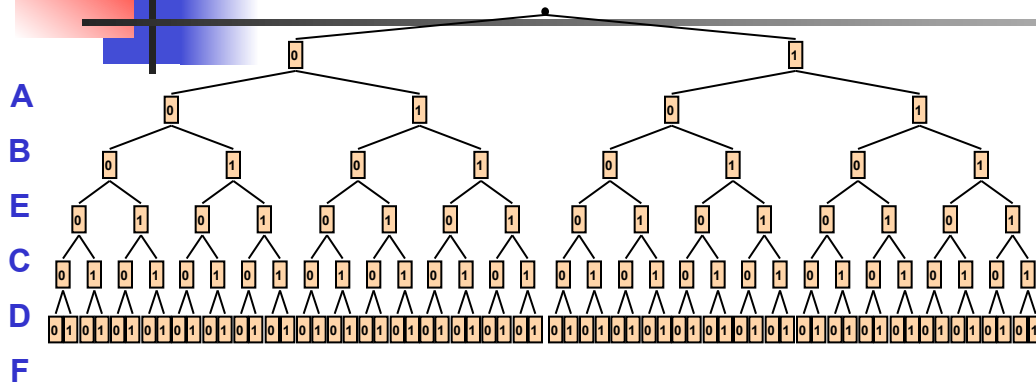
Searching AND/OR Graphs

- $AO(i)$: searches depth-first, cache i -context
 - i = the max size of a cache table (i.e. number of variables in a context)

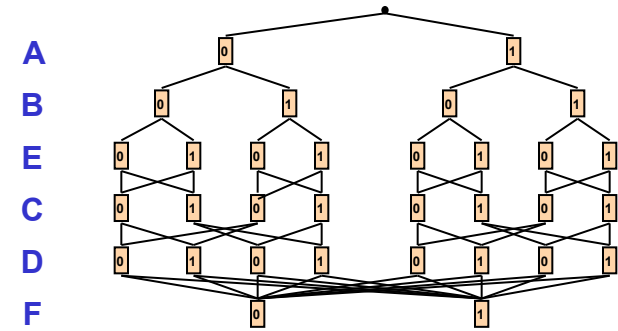




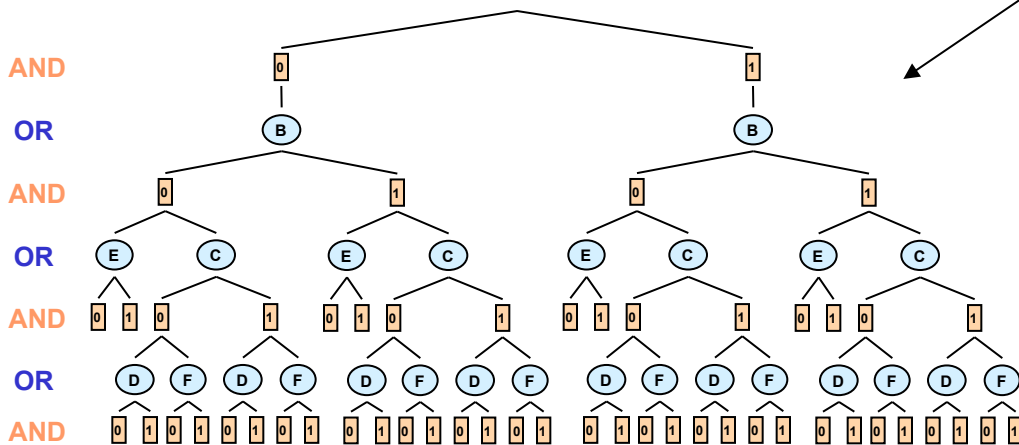
All four search spaces



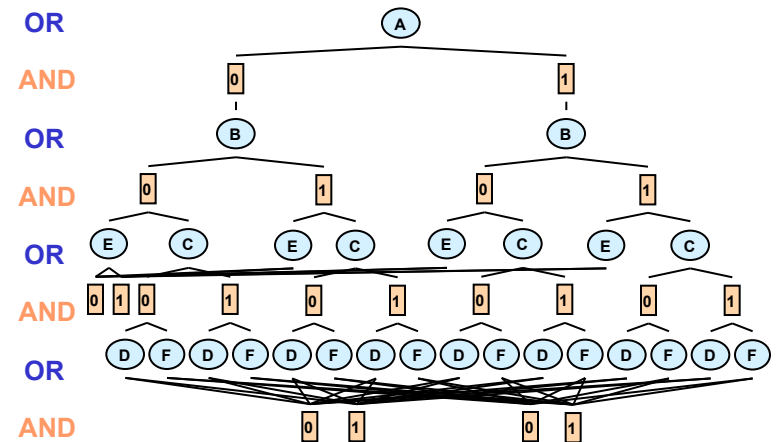
Full OR search tree



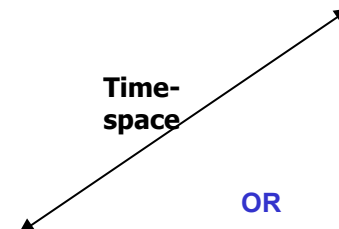
Context minimal OR search graph



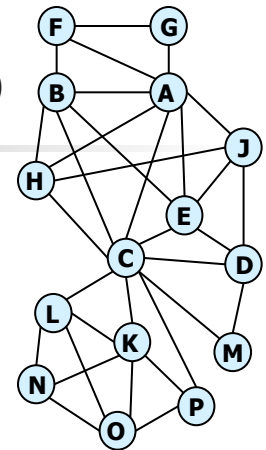
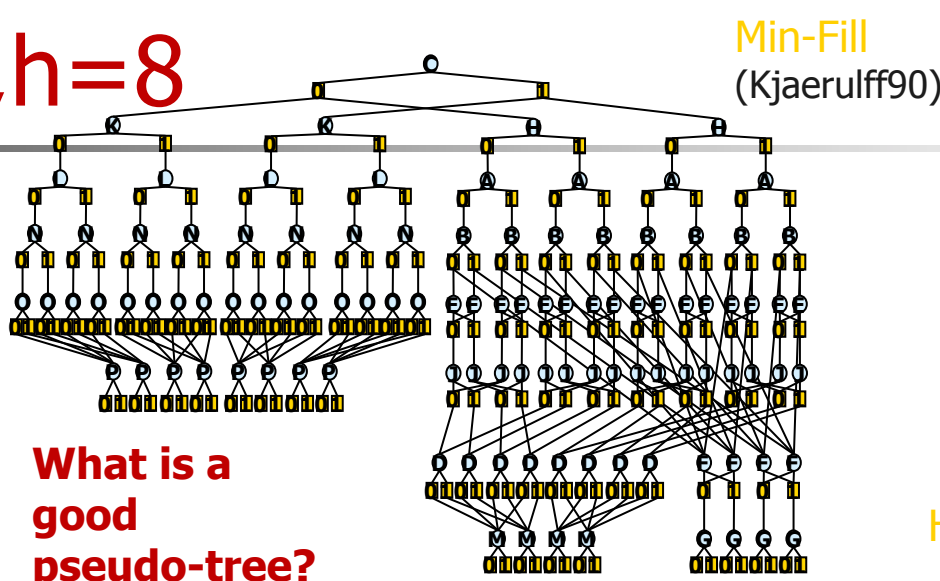
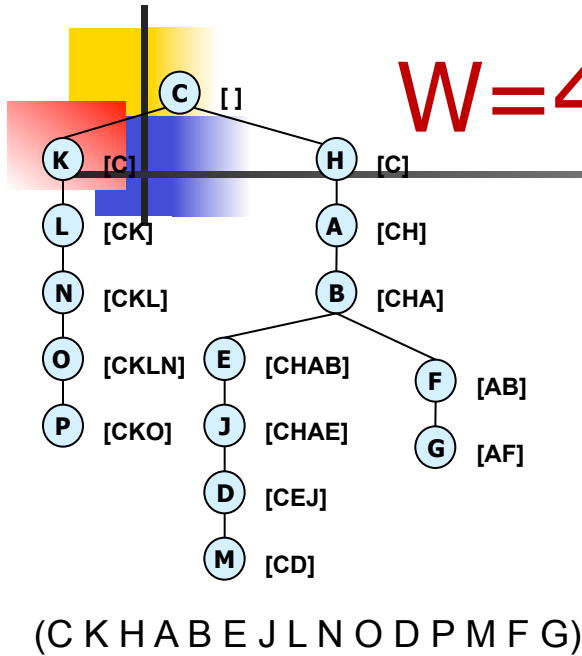
Full AND/OR search tree



Context minimal AND/OR search graph

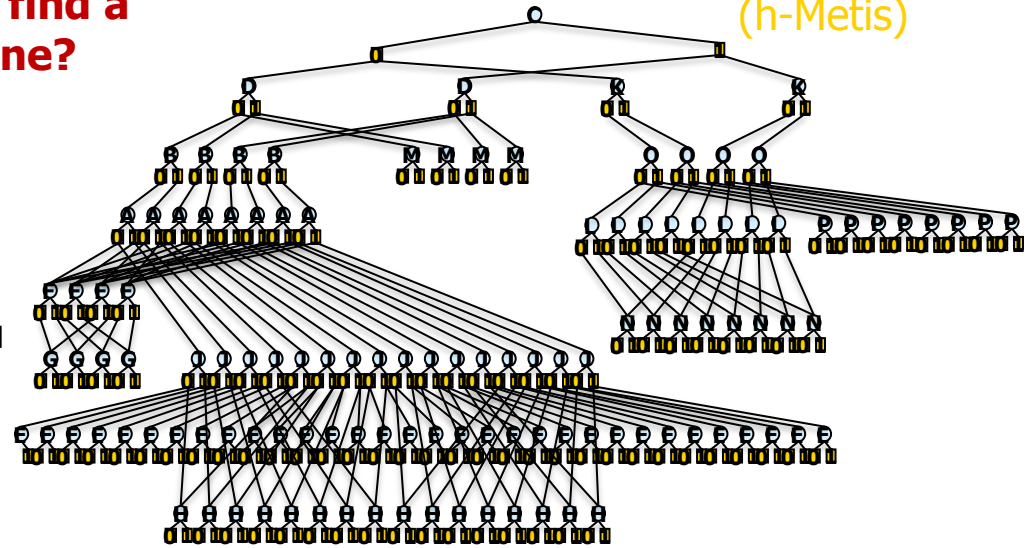
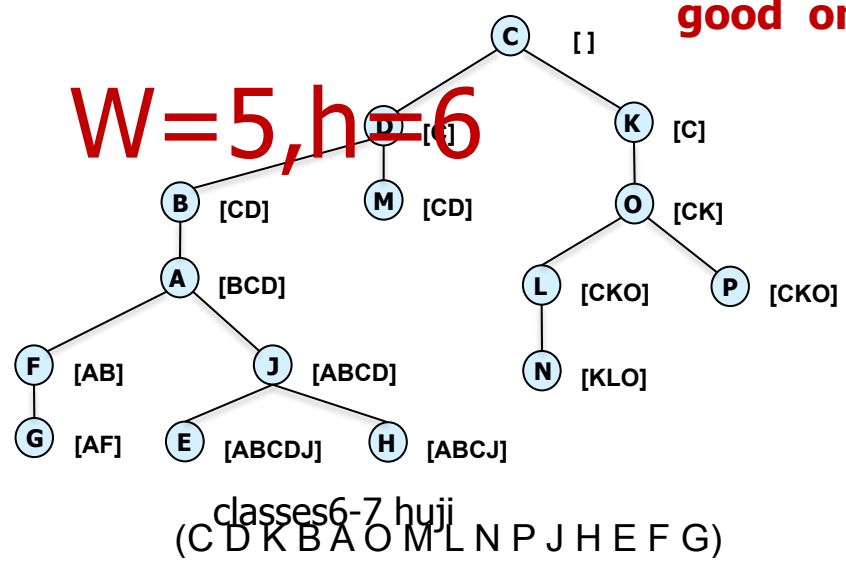


The impact of the pseudo-tree



**What is a good pseudo-tree?
How to find a good one?**

Hypergraph Partitioning
(h-Metis)



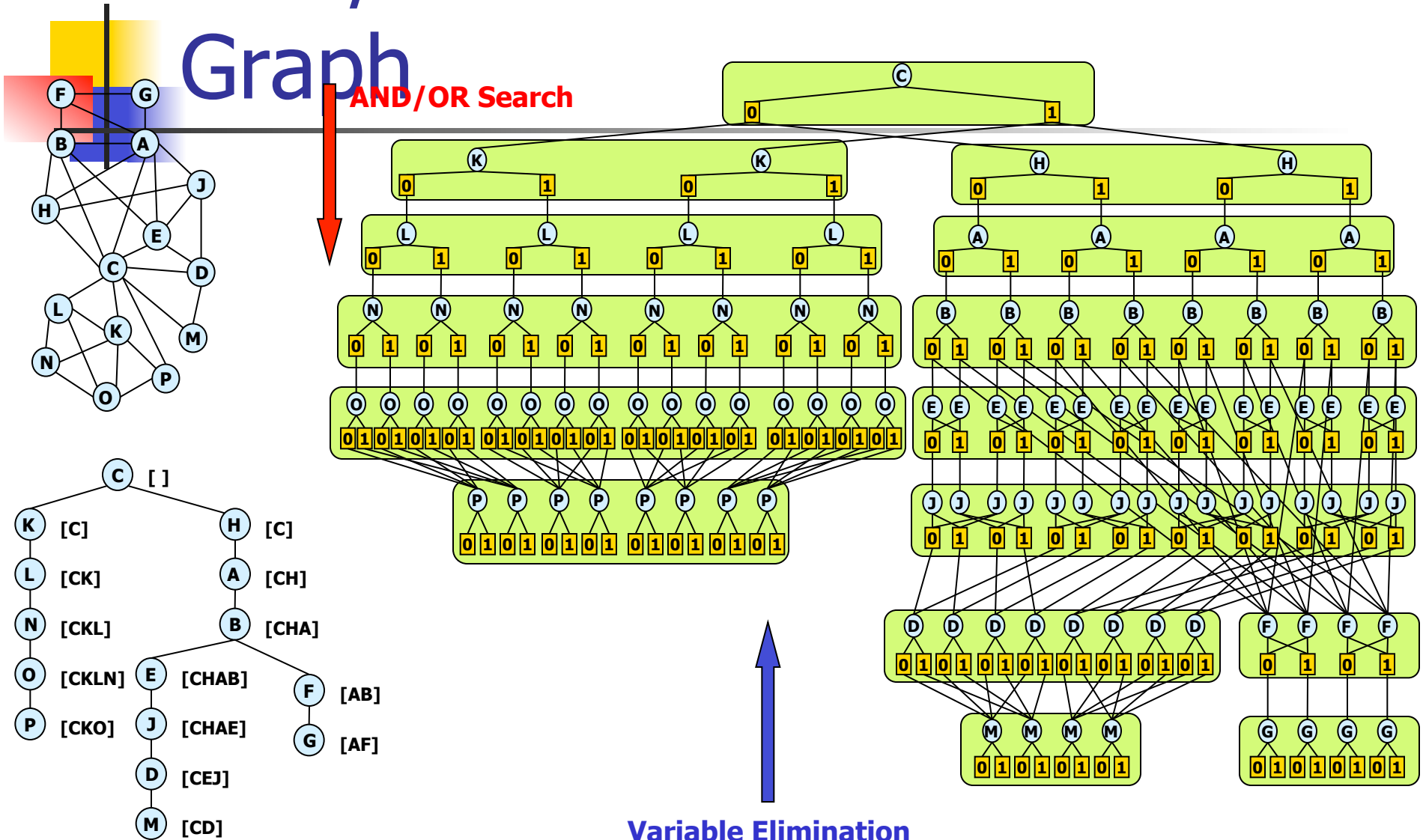


Dead Caches

Definition 8.1.9 (dead cache) *If X is the parent of Y in pseudo-tree \mathcal{T} , and $\text{context}(X) \subset \text{context}(Y)$, then $\text{context}(Y)$ represents a dead cache.*

Example 8.1.10 Consider the graphical models and the pseudo-tree in Figure 7.13. The context in the left branch (C , CK , CKL , $CKLN$) are all dead-caches. The only one which is not is CKO of P . As you can see, there are converging arcs into P only along this branch. Indeed if we describe the clusters of the corresponding bucket-tree. we would have just two maximal clusters: $CKLNO$ and $PCKO$ whose separator is CKO , the context of P . □

AND/OR Context Minimal Graph



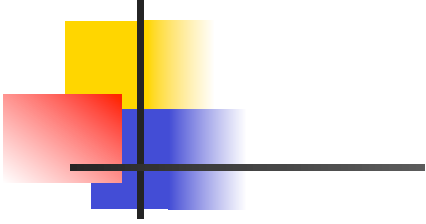
(CKHABEJLNODPMFG)

classes6-7 huji



Available code

- <http://graphmod.ics.uci.edu/group/Software>


Algorithm 2: AO-COUNTING / AO-BELIEF-UPDATING

A constraint network $\mathcal{M} = \langle X, D, C \rangle$, or a belief network $\mathcal{P} = \langle X, D, P \rangle$; a pseudo tree \mathcal{T} rooted at X_1 ; parents pa_i (OR-context) for every variable X_i ; **caching** set to *true* or *false*. The number of solutions, or the updated belief, $v(X_1)$.

```

if caching == true then // Initialize cache tables
1 | Initialize cache tables with entries of “-1”
2  $v(X_1) \leftarrow 0$ ; OPEN  $\leftarrow \{X_1\}$  // Initialize the stack OPEN
3 while OPEN  $\neq \varnothing$  do
4 |  $n \leftarrow \text{top}(\text{OPEN})$ ; remove  $n$  from OPEN
5 | if caching == true and  $n$  is OR, labeled  $X_i$  and  $\text{Cache}(\text{asgn}(\pi_n)[pa_i]) \neq -1$  then // In
   | cache
6 | |  $v(n) \leftarrow \text{Cache}(\text{asgn}(\pi_n)[pa_i])$  // Retrieve value
7 | |  $\text{successors}(n) \leftarrow \varnothing$  // No need to expand below
8 | else // EXPAND
9 | | if  $n$  is an OR node labeled  $X_i$  then // OR-expand
10 | | |  $\text{successors}(n) \leftarrow \{ \langle X_i, x_i \rangle \mid \langle X_i, x_i \rangle \text{ is consistent with } \pi_n \}$ 
11 | | |  $v(\langle X_i, x_i \rangle) \leftarrow 1$ , for all  $\langle X_i, x_i \rangle \in \text{successors}(n)$ 
12 | | |  $v(\langle X_i, x_i \rangle) \leftarrow \prod_{f \in B_{\mathcal{T}}(X_i)} f(\text{asgn}(\pi_n)[pa_i])$ , for all  $\langle X_i, x_i \rangle \in \text{successors}(n)$  // AO-BU
13 | | if  $n$  is an AND node labeled  $\langle X_i, x_i \rangle$  then // AND-expand
14 | | |  $\text{successors}(n) \leftarrow \text{children}_{\mathcal{T}}(X_i)$ 
15 | | |  $v(X_i) \leftarrow 0$  for all  $X_i \in \text{successors}(n)$ 
16 | | Add  $\text{successors}(n)$  to top of OPEN
17 while  $\text{successors}(n) == \varnothing$  // PROPAGATE
18 | if  $n$  is an OR node labeled  $X_i$  then
19 | | if  $X_i == X_1$  then // Search is complete
20 | | | return  $v(n)$ 
21 | | if caching == true then
22 | | |  $\text{Cache}(\text{asgn}(\pi_n)[pa_i]) \leftarrow v(n)$  // Save in cache
23 | |  $v(p) \leftarrow v(p) * v(c)$ 
24 | | if  $v(p) == 0$  then // Check if p is dead-end
25 | | | remove  $\text{successors}(p)$  from OPEN
26 | | |  $\text{successors}(p) \leftarrow \varnothing$ 
27 | | if  $n$  is an AND node labeled  $\langle X_i, x_i \rangle$  then
28 | | | let  $p$  be the parent of  $n$ 
29 | | |  $v(p) \leftarrow v(p) + v(n)$ ;
30 | | remove  $n$  from  $\text{successors}(p)$ 
31 | |  $n \leftarrow p$ 

```



The recursive value rule

$$\begin{aligned} v(n) &= \bigotimes_{n' \in \text{children}(n)} v(n'), & \text{if } n = \langle X, x \rangle \text{ is an AND node,} \\ v(n) &= \bigvee_{n' \in \text{children}(n)} (w_{(n,n')} \bigotimes v(n')), & \text{if } n = X \text{ is an OR node.} \end{aligned}$$



AND/OR search for Mixed networks

Definition 8.2.1 (backtrack-free AND/OR search tree) *Given graphical model \mathcal{M} and given an AND/OR search tree $S_{\mathcal{T}}(\mathcal{M})$, the backtrack-free AND/OR search tree of \mathcal{M} based on \mathcal{T} , denoted $BF_{\mathcal{T}}(\mathcal{M})$, is obtained by pruning from $S_{\mathcal{T}}(\mathcal{M})$ all inconsistent subtrees, namely all nodes that root no consistent partial solution.*

- No-good and good learning are automatically performed by AND/OR (backjumping) and by caching.



AND/OR backtrack-free

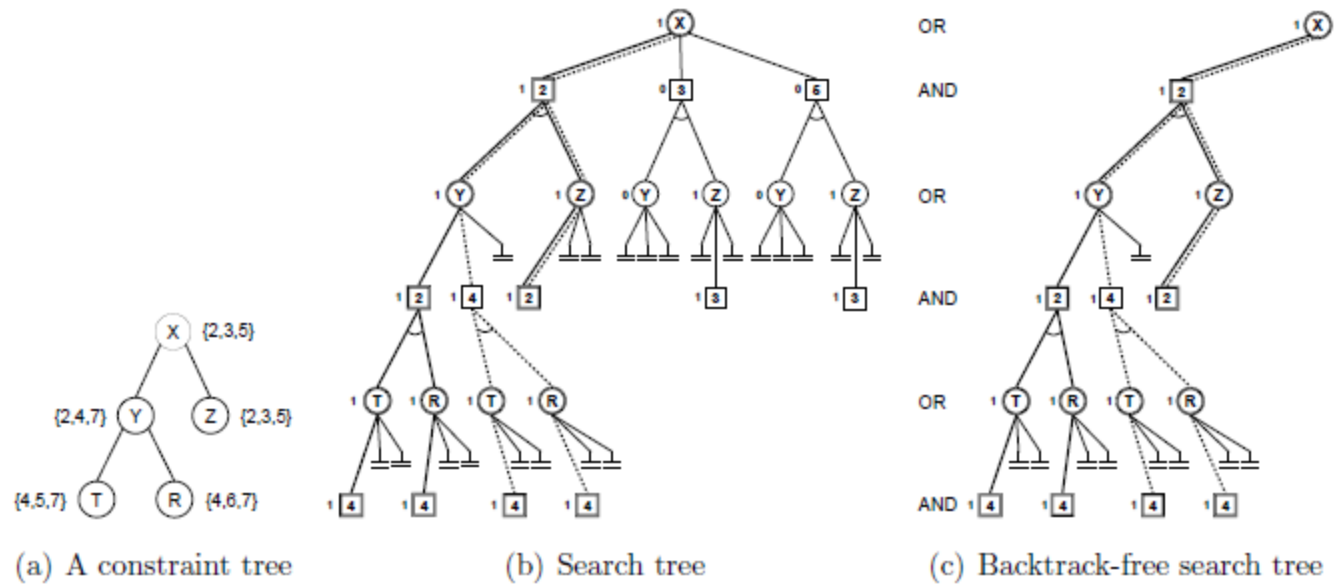


Figure 8.1: AND/OR search tree and backtrack-free tree

AND/OR CPE (constraint probability evaluation)

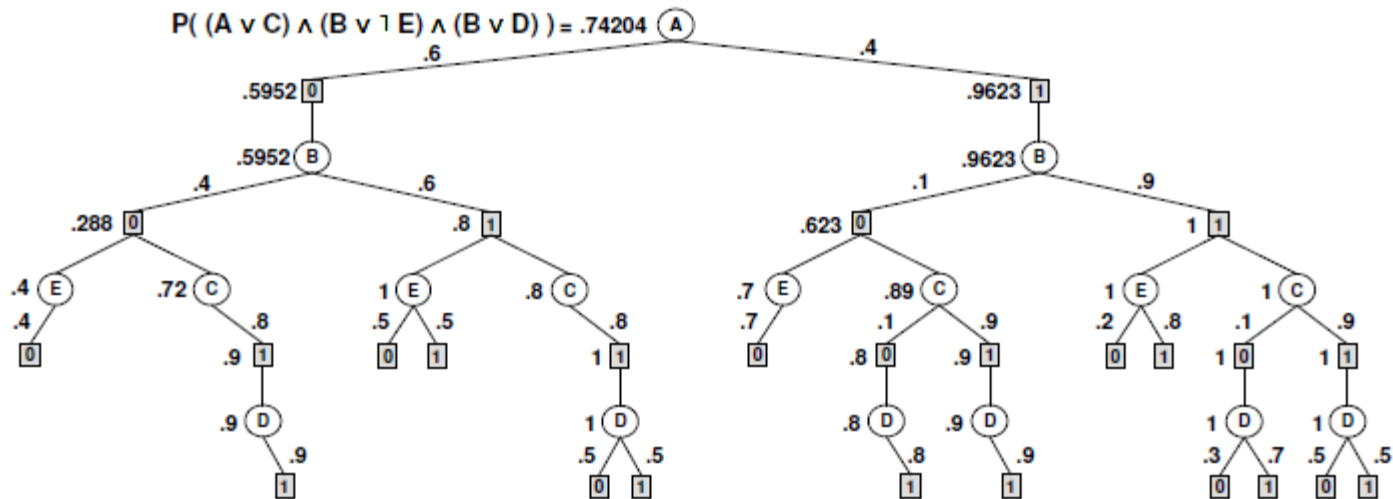
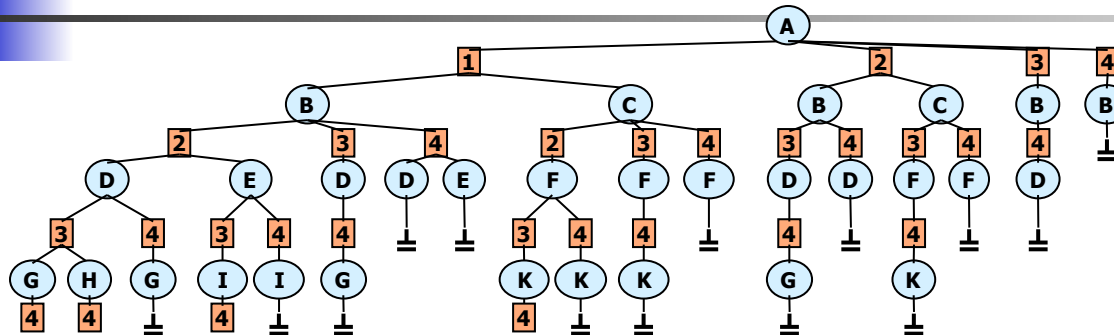
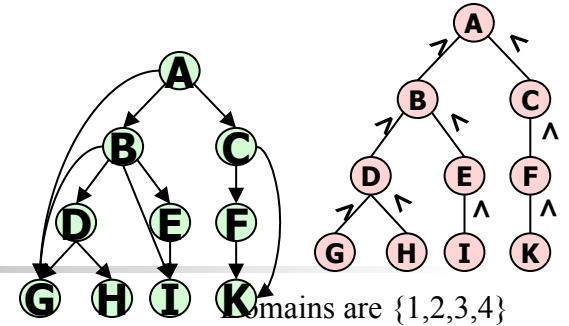
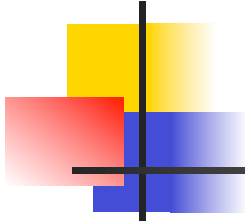


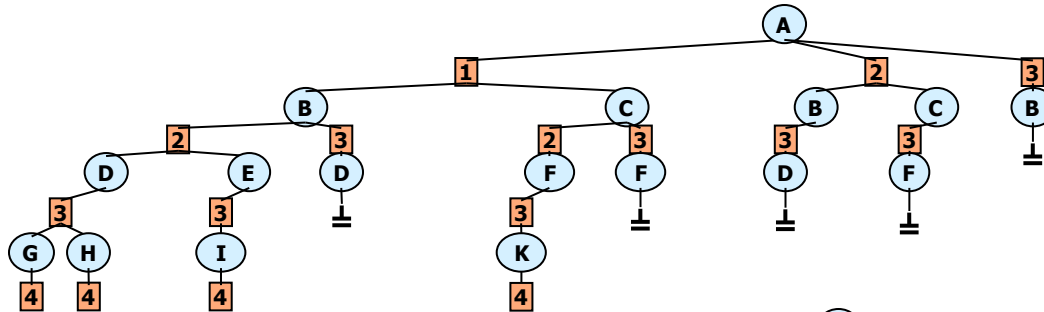
Figure 8.2: Mixed network defined by the query $\varphi = (A \vee C) \wedge (B \vee \neg E) \wedge (B \vee D)$

Example 8.2.6 We refer back to the example in Figure 7.4. Consider a constraint network that is defined by the CNF formula $\varphi = (A \vee C) \wedge (B \vee \neg E) \wedge (B \vee D)$. The trace of algorithm AND-OR-CPE without caching is given in Figure 8.2. Notice that the clause $(A \vee C)$ is not satisfied if $A = 0$ and $C = 0$, therefore the paths that contain this assignment cannot be part of a solution of the mixed network. The value of each node is shown to its left (the leaf nodes assume a dummy value of 1, not shown in the figure). The value of the root node is the probability of φ . Figure 8.2 is similar to Figure 7.4. In Figure 7.4 the evidence can be modeled as the CNF formula with unit clauses $D \wedge \neg E$. \square

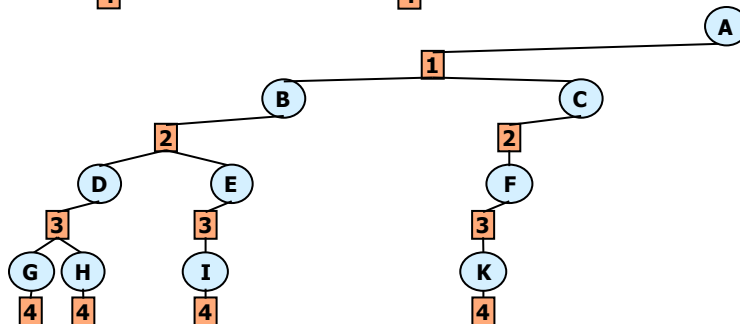
The Effect of Constraint Propagation in AND/OR CPE



CONSTRAINTS ONLY



FORWARD CHECKING



MAINTAINING ARC CONSISTENCY



Search for MPE/MAP problem

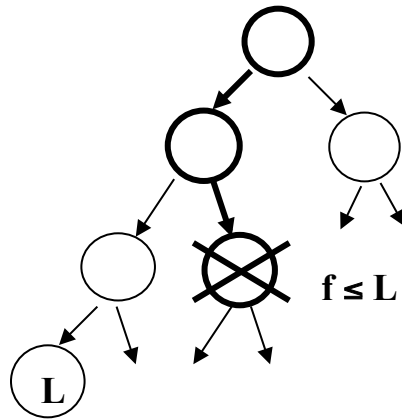
- Searching the AND/OR space by
 - Branch and bound
 - Best-first

Searching the AND/OR space for MPE/ MAP

Heuristic function $f(x^p)$ computes a lower bound on the best extension of x^p and can be used to guide a heuristic search algorithm. We focus on:

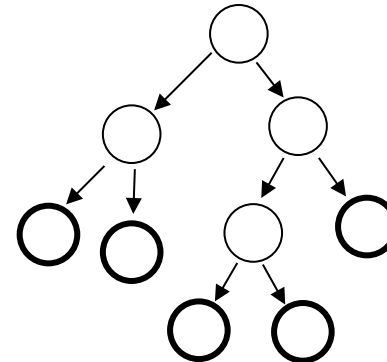
1. DF Branch-and-Bound

Use heuristic function $f(x^p)$ to prune the depth-first search tree
Linear space



2. Best-First Search

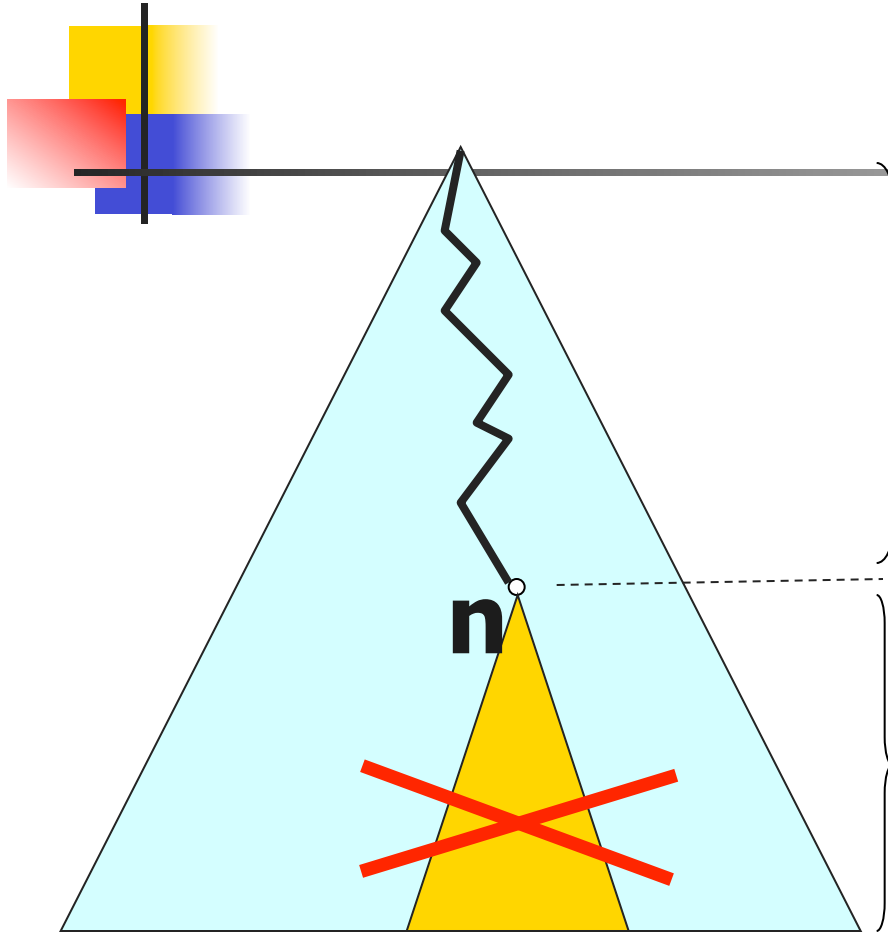
Always expand the node with the highest heuristic value $f(x^p)$
Needs lots of memory



AND/OR Branch-and-Bound (AOBB)

(Marinescu & Dechter, IJCAI' 05)

Maintain
ub = best solution found so far



$g(n)$

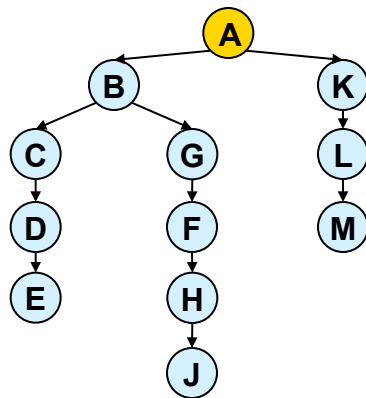
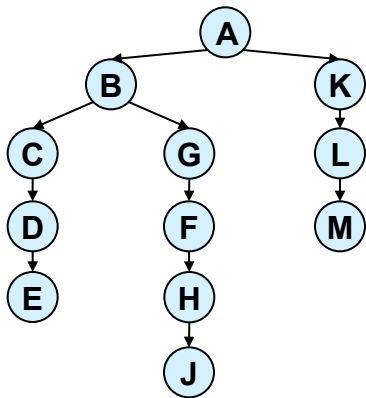
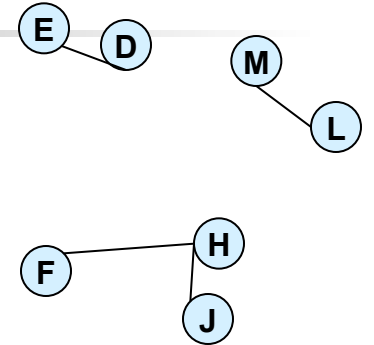
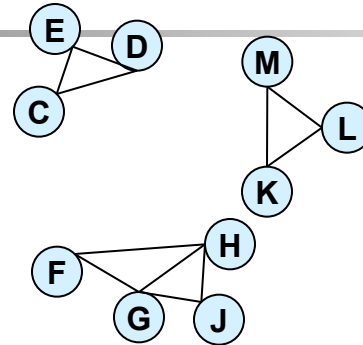
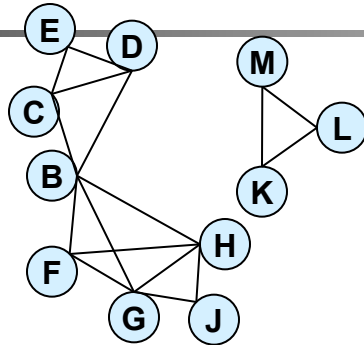
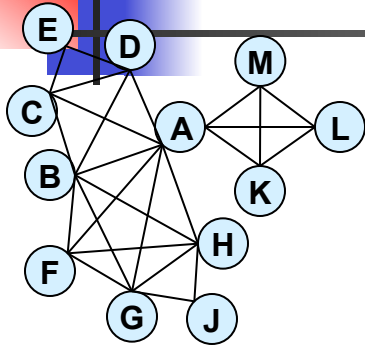
$lb(n) = g(n) + h(n)$

$h(n)$

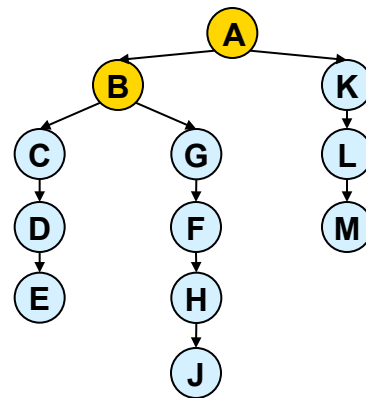
estimates the optimal cost below n

Prune subtree below n if $lb(n) \geq ub$

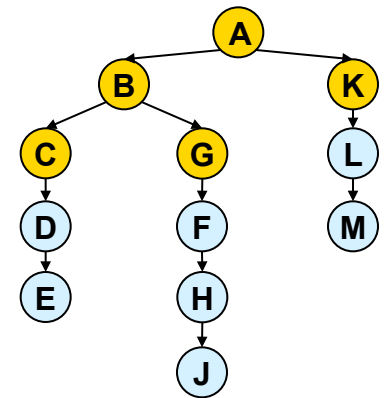
AND/OR w-cutset



3-cutset

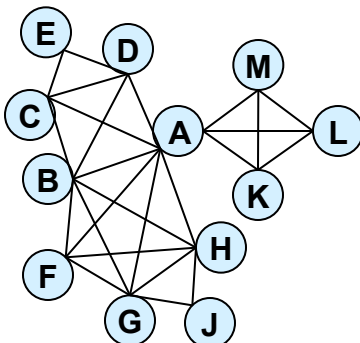


2-cutset

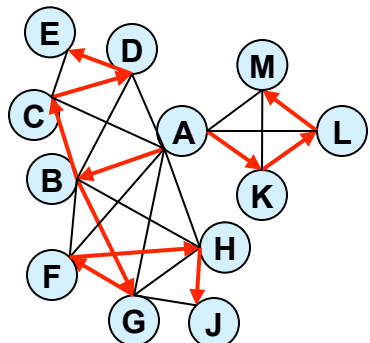


1-cutset

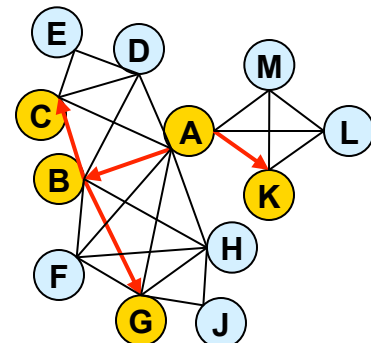
AND/OR w-cutset



graphical model



pseudo tree



1-cutset tree



w-cutset Trees Over AND/OR space

- **Definition:**

- T_w is a w-cutset tree relative to backbone tree T , iff T_w is roots T and when removed, yields tree-width w .

- **Theorem:**

- AO(i) time complexity for pseudo-tree T is time $O(\exp(i + m_i))$ and space $O(i)$, m_i is the depth of the T_i tree.
- Better than w-cutset: $O(\exp(i + c_i))$ when c_i is the number of nodes in T_i